



Project Acronym: Fun-COMP

Project Title: Functionally scaled computing technology: From novel devices to non-von Neumann architectures and algorithms for a connected intelligent world

WP5

**Integrated non-von Neumann computing primitives Project
(WP Leader UOXF)**

Deliverable D5.8: Second cross-disciplinary training session and associated training webinars/videos

Deliverable ID: D5.8

Deliverable title: Second cross-disciplinary training session and associated training webinars/videos

Revision level: FINAL

Partner(s) responsible: UOXF

Contributors: UOXF (H Bhaskaran), UNEXE (C D Wright)

Dissemination level: PU¹

¹ CO: Confidential, only for members of the Fun-COMP consortium (including the Commission Services); PU: Public.

Second cross-disciplinary training session and associated training webinars/videos

The Fun-COMP project brings together partners with expertise spanning a wide range of scientific and technical disciplines. To help ensure that such expertise is shared between partners, and to help to increase public awareness in the science and technology underpinning Fun-COMP, two cross-disciplinary training workshops will be held during the course of the project.

The first such training event was held at the University of Oxford on March 18th 2019 (note that this was formally delayed from month-9 - as in original DoW - to month-12 to make coincident with project progress meeting) and has been reported in Deliverable D5.7

The second training event was also held at the University of Oxford, on August 22nd 2019, and focused on integrated photonics systems. This event, which we entitled “Conversations on the Future of Integrated Photonics and Computing”, consisted of two main sessions, as follows:

- 1) An invited tutorial presentation by Prof Paul Prucnal, MIT, on *Advances in Neuromorphic Silicon Photonics*
- 2) A panel session on *The Future of Photonic Computing*, in which Prof Prucnal, Fun-COMP WP leaders and the audience discussed key technical challenges facing the development of photonic computing technology.

The training session was attended by a wide-ranging audience including young PhD and post-doc scientists from partner laboratories, as well as editors of academic journals, representatives of EPSEC (UK funding council) and local (Oxford) PhD and post-doc researchers.

A copy of the presentation made by Prof Prucnal is attached to this deliverable report and currently available on the partner-only pages of the Fun-COMP website (www.fun-comp.org), but will be migrated to the private pages as soon as possible confidentiality issues have been addressed. See

<https://fun-comp.org/research-contents/project-deliverables/>

ADVANCES IN NEUROMORPHIC SILICON PHOTONICS

Paul R. Prucnal & Bhavin J. Shastri
Princeton & Queen's Universities



□ Motivation

- Artificial intelligence; neural networks
- Recent work on photonic information processing

□ Silicon Photonic Neural Networks

- Micro-ring weight banks: vector multiplications
- Micro-ring modulator nonlinearity

□ Applications

- Winner Take All Demonstration
- Nonlinear Programming
- Processing GHz RF Signals

□ Conclusion

- Challenges in Making This a Reality

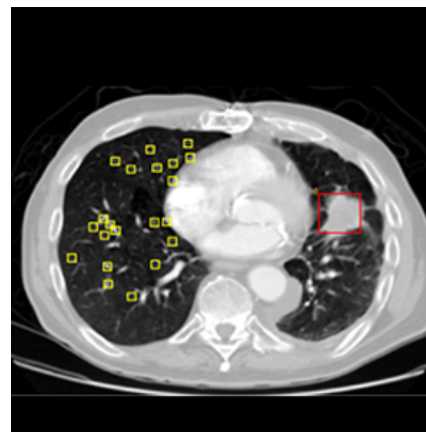
Rise of Artificial Intelligence

- ❑ Natural language processing; Siri, Cortana, Alexa, Google
- ❑ Game playing (Go) and Chess; beating World Champions
- ❑ Face recognition
- ❑ YouTube, Netflix, Google Maps, Amazon
- ❑ Medicine and healthcare
- ❑ Autonomous vehicles
- ❑ Control and optimization

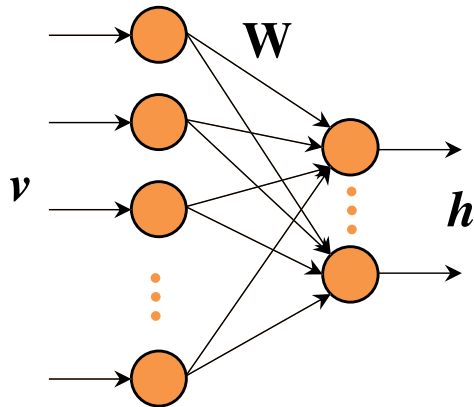


NETFLIX

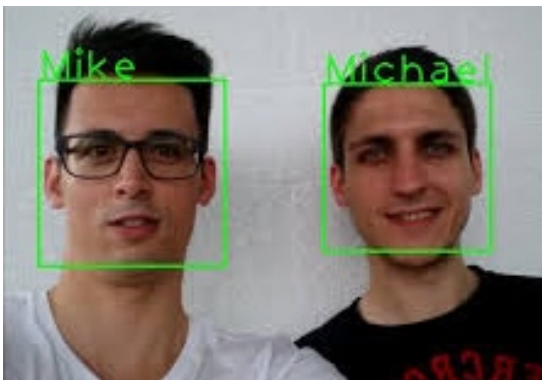
amazon



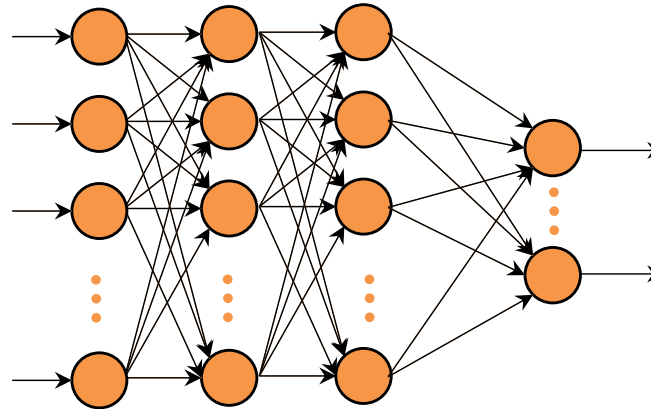
Feedforward



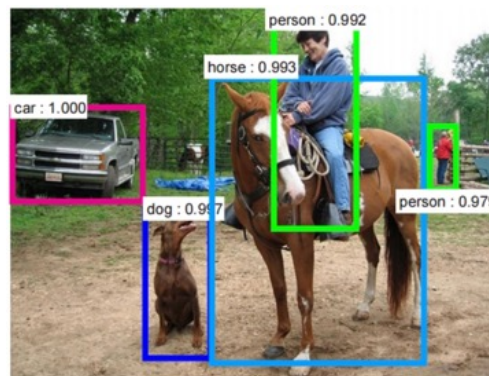
Train W (minimize error) for recognition tasks



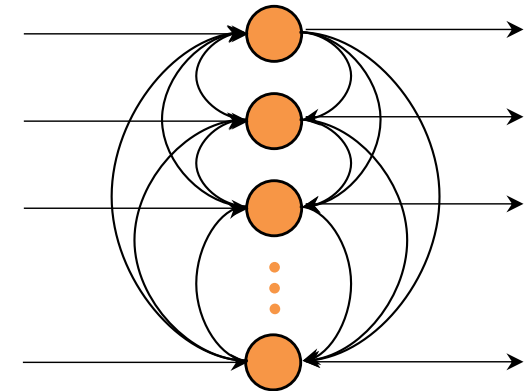
Multi-layered
(deep) network



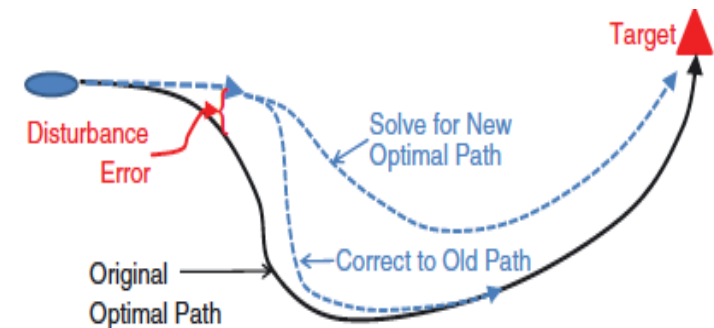
Feature extraction
and classification



Recurrent

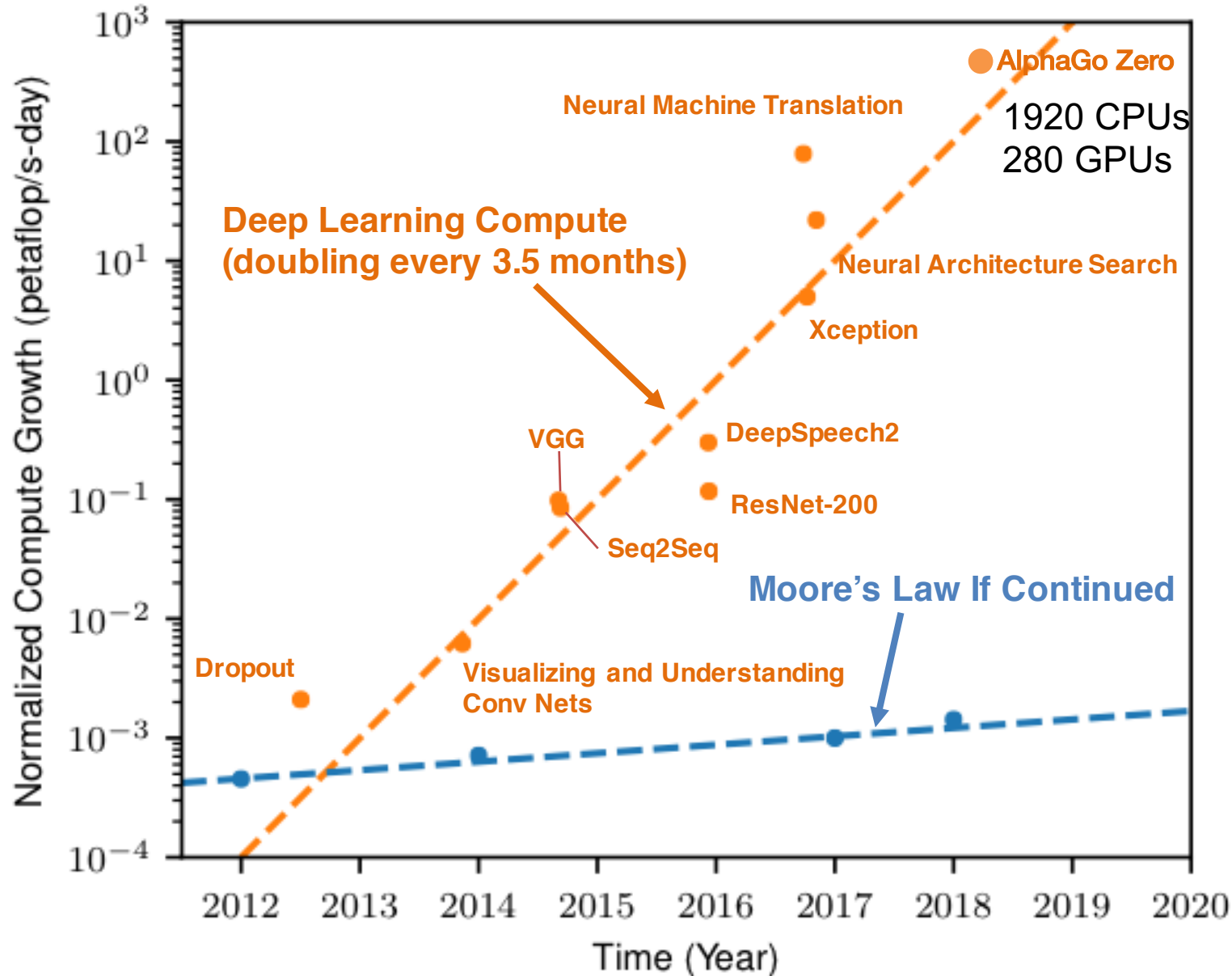


Learning, control & optimization



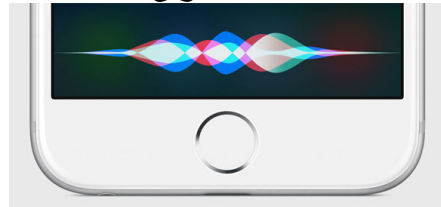
Computational Speed Limitations

Deep learning dominates HPC... exceeding the available compute capacity.

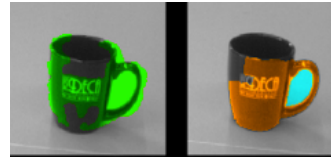


Time Scales of Applications & Technologies

**Hz-
kHz**



Speech recognition



Object recognition

**Machine Learning
with Computers
(AI software)**

Time Scale

**kHz-
MHz**

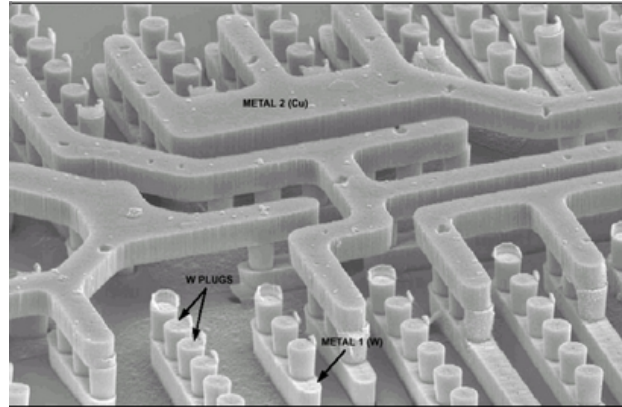


Real-time (deep) surveillance

**Neuromorphic
Electronics
(special purpose
hardware)**

Tradeoff: challenge being fast and complex

Hz-
kHz



kHz-
MHz

Physical Limitations

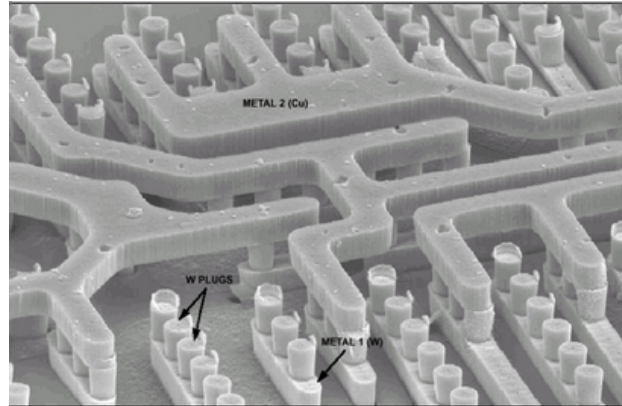
- **Interconnection density**: capacitive loading, EMI, topological constraints
- **Bandwidth**: $\propto 1/N^2$ (N =wires)
- **Energy efficiency**: limited by electron tunneling (leakage) through gates

Time Scale

Time Scale

Hz-
kHz

Tradeoff: challenge being fast and complex

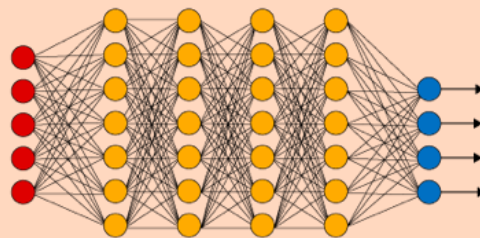


kHz-
MHz

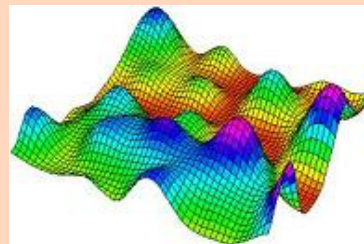
Physical Limitations

- Interconnection density: capacitive loading, EMI, topological constraints
- Bandwidth: $\propto 1/N^2$ (N =wires)
- Energy efficiency: limited by electron tunneling (leakage) through gates

GHz



Deep Learning
Acceleration



Nonlinear Optimization

Machine Learning
with Computers
(AI software)

Neuromorphic
Electronics
(special purpose
hardware)

Neuromorphic
Photonics
(hardware)

Enables new applications that
can't be achieved with
electronics, such as in RF

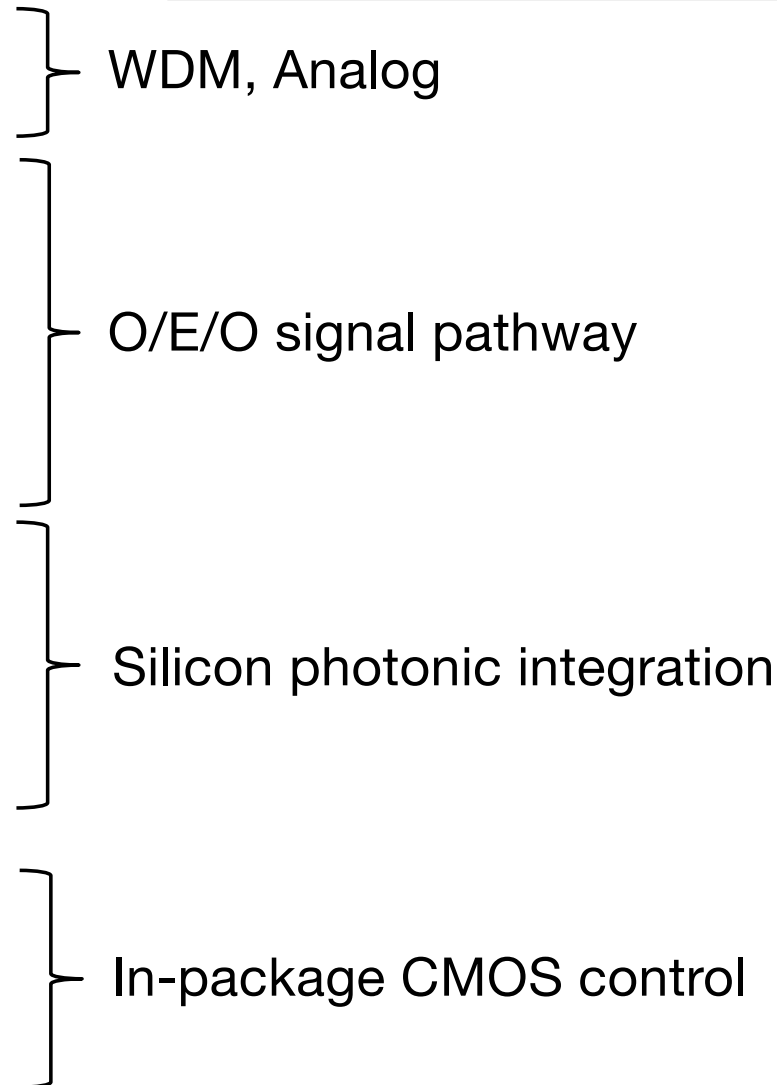
Optics & Computing--Why now?

Robert Keyes' main criticisms of digital optical computing in the 1980's: A 2019 perspective

Then: Problems

- Fan-out
- Physical cascadability
- Logic level restoration
- Input-output isolation
- Large-signal gain
- Large system integration
- Cost relative to microelectronics
- Footprint
- Fabrication precision
- Stability/sensitivity
- Individual adjustment

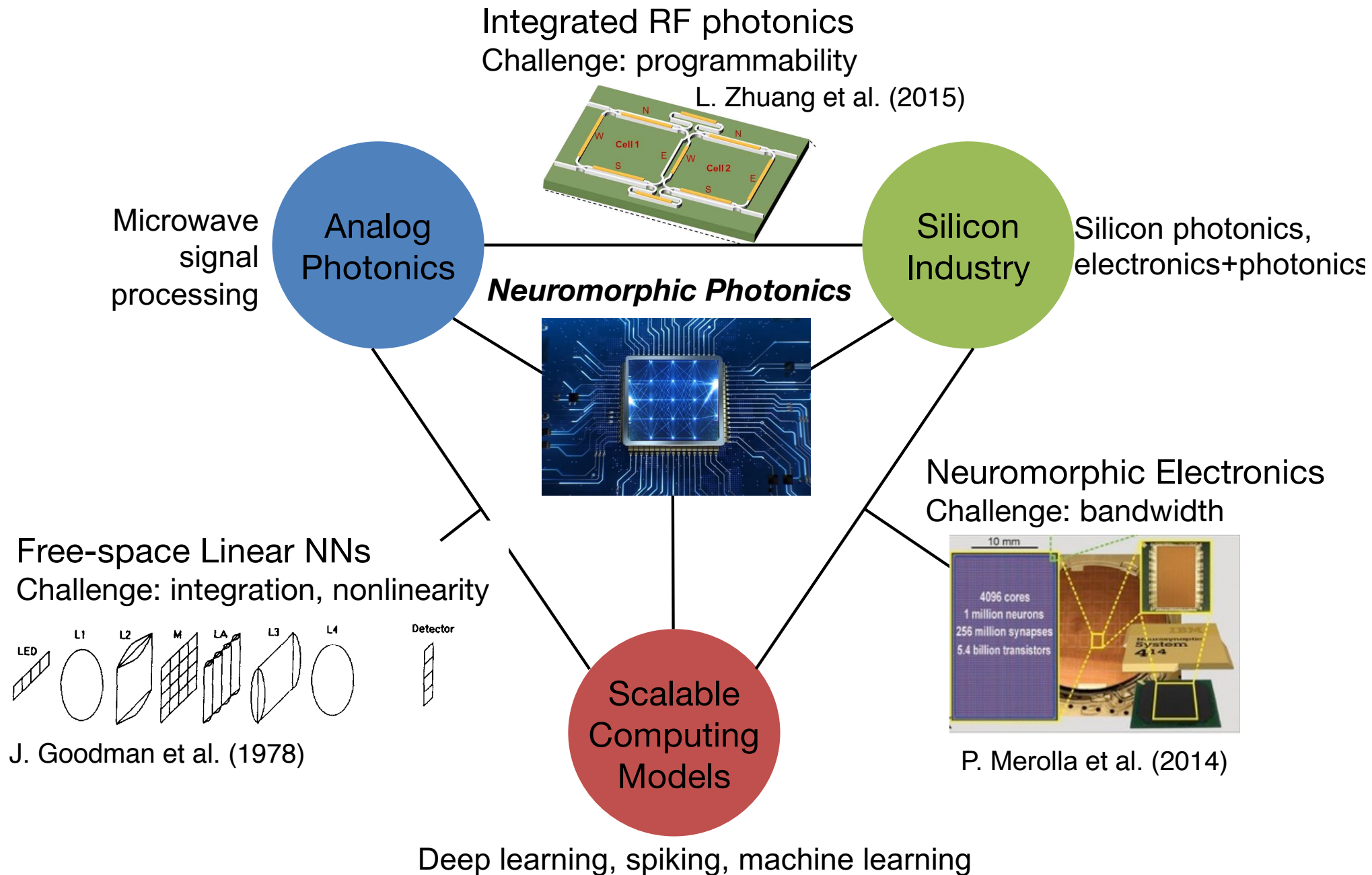
Now: Silicon Photonic Solutions



R. W. Keyes, Optical Logic: In the light of computer technology *Optica Acta*, **32** (5), 1985 (IBM)

R. W. Keyes, What makes a good computer device? *Science*, **230**, pp.138–144, 1985

Optics & Computing--Why now?



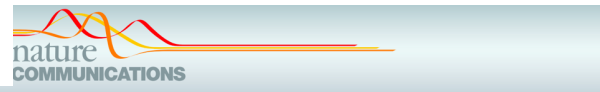
1) Photonic Reservoir Computing:



Parallel photonic information processing at gigabyte per second data rates using transient states

Daniel Brunner¹, Miguel C. Soriano¹, Claudio R. Mirasso¹ & Ingo Fischer¹

UIB, Spain



Information processing using a single dynamical node as complex system

L. Appeltant¹, M.C. Soriano², G. Van der Sande¹, J. Danckaert¹, S. Massar², J. Dambre⁴, B. Schrauwen⁴, C.R. Mirasso² & I. Fischer²



Experimental demonstration of reservoir computing on a silicon photonics chip

Kristof Vandoorne^{1,2}, Pauline Mechet^{1,2}, Thomas Van Vaerenbergh^{1,2}, Martin Fiers^{1,2}, Geert Morthier^{1,2}, David Verstraeten³, Benjamin Schrauwen³, Joni Dambre³ & Peter Bienstman^{1,2}

Ghent

Photonic information processing beyond Turing: an optoelectronic implementation of reservoir computing

L. Larger¹, M. C. Soriano², D. Brunner^{2,*}, L. Appeltant³, J. M. Gutierrez⁴, L. Pesquera⁴, C. R. Mirasso² and I. Fischer²

FEMTO-ST, France

2) Multiwavelength Neural Networks:

SCIENTIFIC REPORTS

Neuromorphic photonic networks using silicon photonic weight banks

Alexander N. Tait¹, Thomas Ferreira de Lima, Ellen Zhou, Allie X. Wu, Mitchell A. Nahmias, Bhavin J. Shastri¹ & Paul R. Prucnal

Princeton



Neuromorphic photonics with electro-absorption modulators

JONATHAN K. GEORGE¹, ARMIN MEHRABIAN¹, RUBAB AMIN¹, JIawei MENG¹, THOMAS FERREIRA DE LIMA², ALEXANDER N. TAIT², BHAVIN J. SHASTRI², TAREK EL-GHAZAWI¹, PAUL R. PRUCNAL² AND VOLKER J. SORGER^{1,*}

Princeton & GWU

3) Coherent Optical Neural Networks:



ARTICLES

PUBLISHED ONLINE: 12 JUNE 2017 | DOI: 10.1038/NPHOTON.2017.93

Deep learning with coherent nanophotonic circuits

Yichen Shen^{1,*†}, Nicholas C. Harris^{1,*†}, Scott Skirlo¹, Mihika Prabhu¹, Tom Baehr-Jones², Michael Hochberg², Xin Sun³, Shijie Zhao⁴, Hugo Larochelle⁵, Dirk Englund¹ and Marin Soljačić¹

(Relinquished their claims at IEEE Summer Topical) MIT



Vol. 5, No. 7 / July 2018 / Optica 864



Training of photonic neural networks through *in situ* backpropagation and gradient measurement

TYLER W. HUGHES¹, MOMCHIL MINKOV², YU SHI² AND SHANHUI FAN^{2,*}

Stanford

4) Photonic Spiking Neural Networks:

IEEE JOURNAL OF SELECTED TOPICS IN QUANTUM ELECTRONICS, VOL. 19, NO. 5, SEPTEMBER/OCTOBER 2013

A Leaky Integrate-and-Fire Laser Neuron for Ultrafast Cognitive Computing

Mitchell A. Nahmias, *Student Member, IEEE*, Bhavin J. Shastri, *Member, IEEE*,
Alexander N. Tait, *Student Member, IEEE*, and Paul R. Prucnal, *Fellow, IEEE*

Princeton

PRL **112**, 183902 (2014)

PHYSICAL REVIEW LETTERS

Relative Refractory Period in an Excitable Semiconductor Laser

F. Selmi, R. Braive,^{*} G. Beaudoin, I. Sagnes, R. Kuszelewicz, and S. Barbay[†]
Laboratoire de Photonique et de Nanostructures, LPN-CNRS UPR20, Route de Nozay, 91460 Marcoussis, .

LPN-CNRS, France

IEEE JOURNAL OF SELECTED TOPICS IN QUANTUM ELECTRONICS, VOL. 24, NO. 6, NOVEMBER/DECEMBER 2018

Neuromorphic Photonic Integrated Circuits

Hsuan-Tung Peng , Mitchell A. Nahmias, Thomas Ferreira de Lima , Alexander N. Tait ,
Bhavin J. Shastri , *Member, IEEE*, and Paul R. Prucnal, *Fellow, IEEE*

Princeton

(Invited Paper)

APPLIED PHYSICS LETTERS **100**, 103703 (2012)

Investigation of vertical cavity surface emitting laser dynamics for neuromorphic photonic systems

A. Hurtado,^{a)} K. Schires, I. D. Henning, and M. J. Adams
*School of Computer Science and Electronic Engineering, University of Essex, Wivenhoe Park, Colchester,
CO4 3SQ, United Kingdom*

Strathclyde & Essex

SCIENTIFIC REPORTS

Spike processing with a graphene excitable laser

Bhavin J. Shastri^{*}, Mitchell A. Nahmias^{*}, Alexander N. Tait^{*}, Alejandro W. Rodriguez, Ben Wu
& Paul R. Prucnal

SCIENTIFIC REPORTS

Regenerative memory in time- delayed neuromorphic photonic resonators

B. Romeira^{1,*}, R. Avó¹, José M. L. Figueiredo¹, S. Barland² & J. Javaloyes³

UIB, Spain & Université de Nice

nature

SUPPLEMENTARY INFORMATION

<https://doi.org/10.1038/s41586-019-1157-8>

In the format provided by the authors and unedited.

All-optical spiking neurosynaptic networks with self-learning capabilities

J. Feldmann¹, N. Youngblood², C. D. Wright³, H. Bhaskaran² & W. H. P. Pernice^{1*}
Exeter, Oxford, Munster

5) Diffractive Optics:

Science

All-optical machine learning using diffractive deep neural networks

Xing Lin, Yair Rivenson, Nezh T. Yardimci, Muhammed Veli, Yi Luo, Mona Jarrahi and Aydogan Ozcan

UCLA



Reinforcement learning in a large-scale photonic recurrent neural network

J. BUENO,¹ S. MAKTOOBI,² L. FROEHL,² I. FISCHER,¹ M. JACQUOT,²  L. LARGER,² AND D. BRUNNER^{2,*} 

¹Instituto de Física Interdisciplinar y Sistemas Complejos, IFISC (UIB-CSIC), Campus Universitat de les Illes Balears, E-07122 Palma de Mallorca, Spain
²FEMTO-ST Institute/Optics Department, CNRS & University Bourgogne Franche-Comté, 15B avenue des Montboucons,

FEMTO-ST, France

6) Ising Machines:



Network of time-multiplexed optical parametric oscillators as a coherent Ising machine

Alireza Marandi^{1,2,*}, Zhe Wang¹, Kenta Takata^{2,3}, Robert L. Byer¹ and Yoshihisa Yamamoto^{1,2,3}

Science

A fully programmable 100-spin coherent Ising machine with all-to-all connections

Peter L. McMahon, Alireza Marandi, Yoshitaka Haribara, Ryan Hamerly, Carsten Langrock, Shuhei Tamate, Takahiro Inagaki, Hiroki Takesue, Shoko Utsunomiya, Kazuyuki Aihara, Robert L. Byer, M. M. Fejer, Hideo Mabuchi and Yoshihisa Yamamoto

Stanford & NTT

7) Other Computing and Superconducting approaches:

Science

Inverse-designed metastructures that solve equations

Nasim Mohammadi Estakhri, Brian Edwards and Nader Engheta

UPenn

PHYSICAL REVIEW APPLIED 7, 034013 (2017)



Superconducting Optoelectronic Circuits for Neuromorphic Computing

Jeffrey M. Shainline,^{*} Sonia M. Buckley, Richard P. Mirin, and Sae Woo Nam
National Institute of Standards and Technology, 325 Broadway, Boulder 80305, Colorado, USA

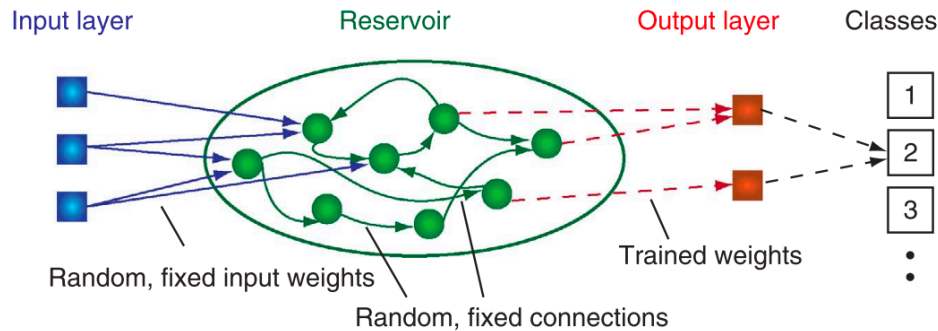
NIST

1) Photonic Reservoir Computing

Echo State Network or Liquid State Machine

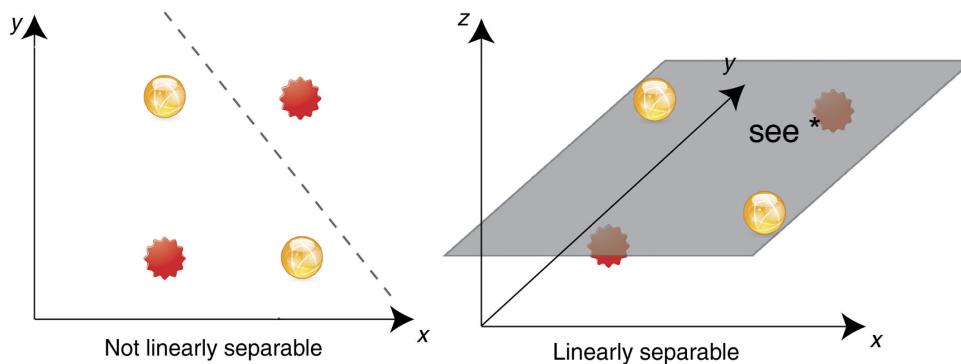
Jaeger, Haas *Science* **304** (2004)

Maass, et al, *Network: Comput.* **14** (2002)



Appeltant et al, *Nat. Commun.* **2** (2011)

- Reservoir (random recurrent connections) increases number of dimensions
- Output layer trained to do linear classification

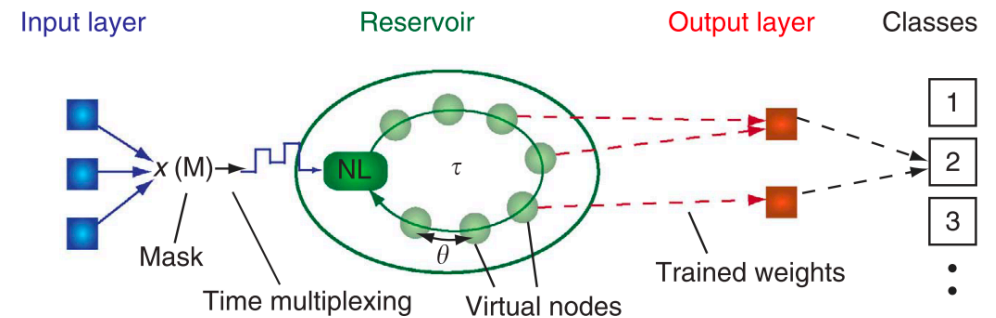


Increasing dimensions facilitates classification

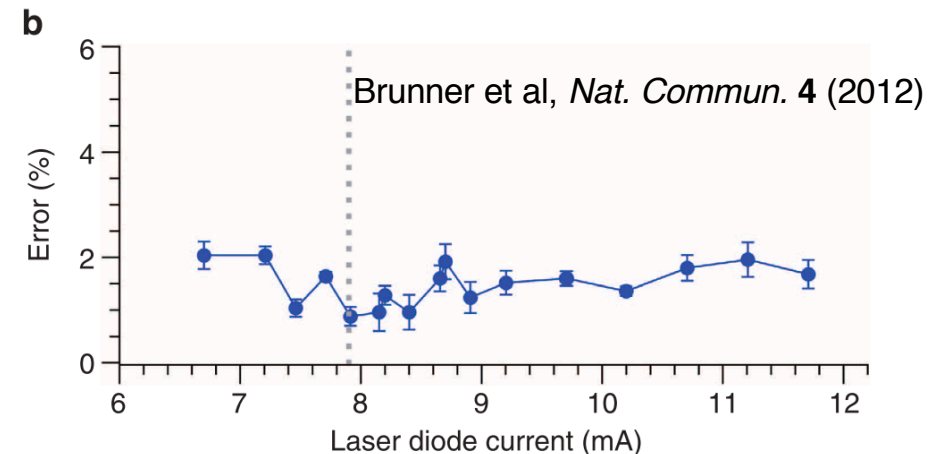
Photonic implementation with delayed feedback

Larger et al. *Opt. Express* **20** (2012)

Appeltant et al, *Nat. Commun.* **2** (2011)

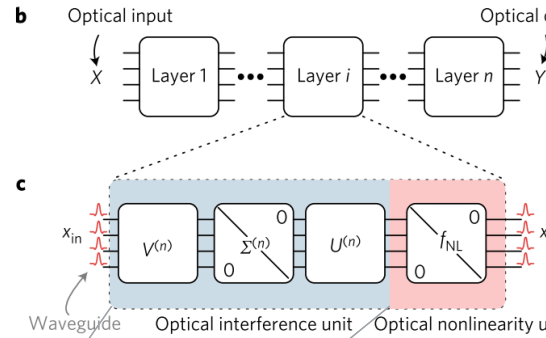
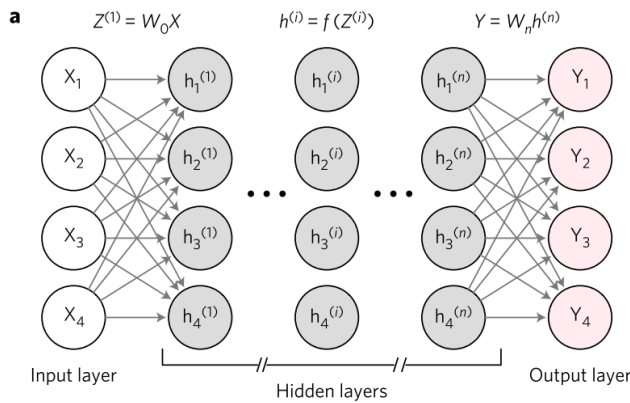


- Weights are time multiplexed
- Single nonlinear laser node (NL)
- Fiber loop creates recurrent network
- More nodes increases latency (with same NL)

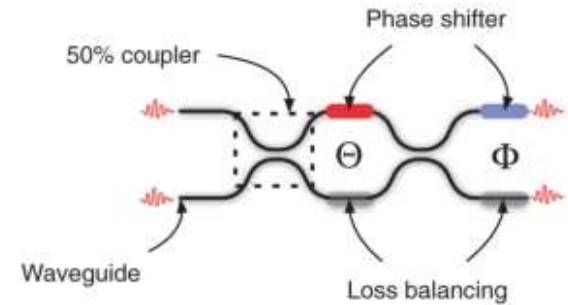
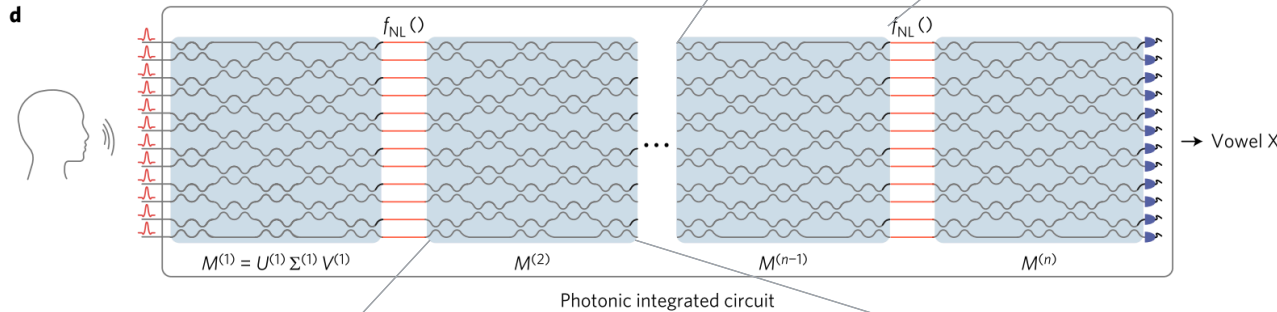


- Spoken digit (0, 1,...9) classification, 5 GHz bandwidth
- Lowest error near laser threshold current (highest nonlinearity)

2) Coherent Optical Neural Network

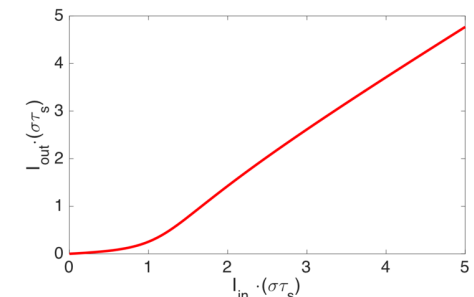


- This is a vector-matrix multiplier corresponding to a neuron front-end.
- Any matrix M can be represented by standard singular value decomposition: $M = U\Sigma V^\dagger$ with $U, V^\dagger$ unitary matrices.
- Implement U and $V^\dagger$ with MZI, which has unitary Jones matrix (below)
- Diagonal weights Σ implemented with MZM.

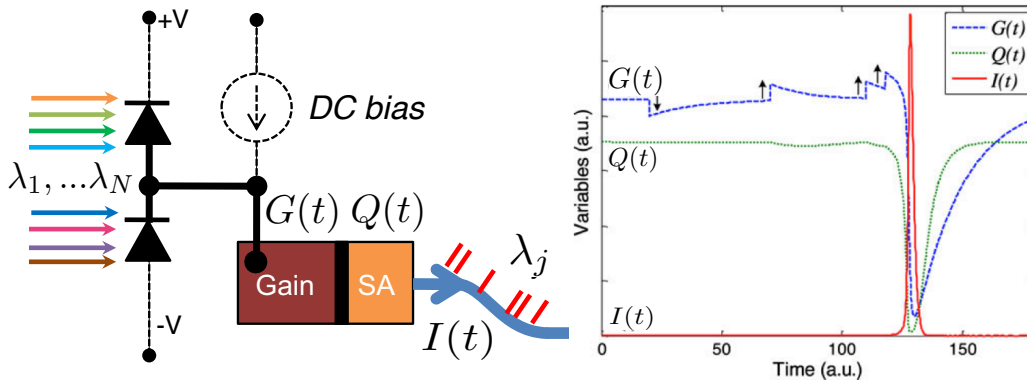


$$U = \begin{pmatrix} e^{i\phi} \sin(\theta/2) & e^{i\phi} \cos(\theta/2) \\ \cos(\theta/2) & -\sin(\theta/2) \end{pmatrix}$$

Optical nonlinearity was not implemented.
Simulated nonlinearity

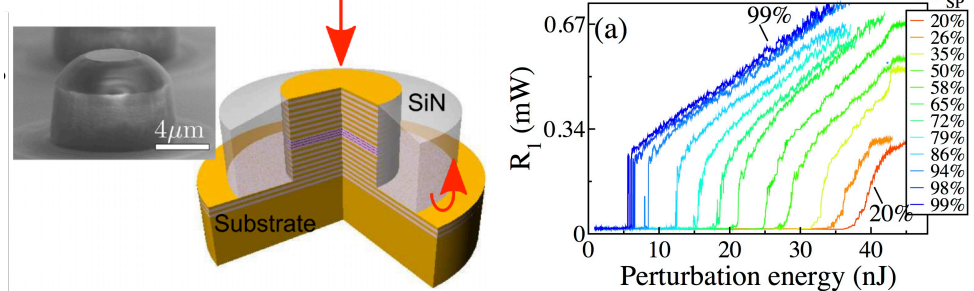


3) Photonic Spiking Neurons



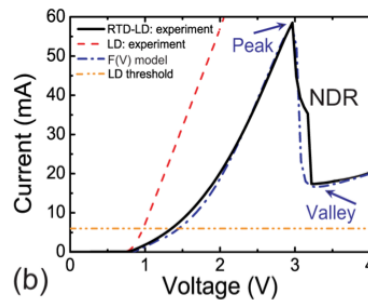
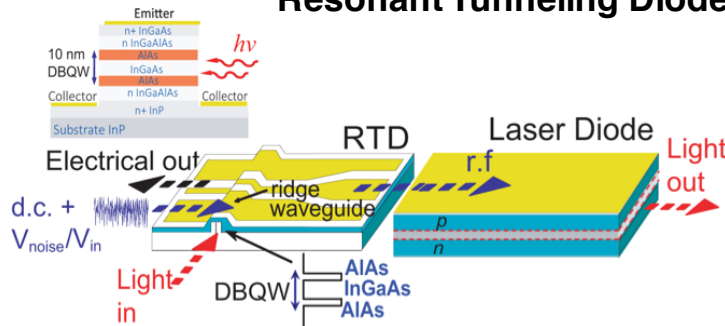
Nahmias, Shastri, Prucnal et al. *IEEE JSTQE* **19** (2013)

Gain-SA Lasers



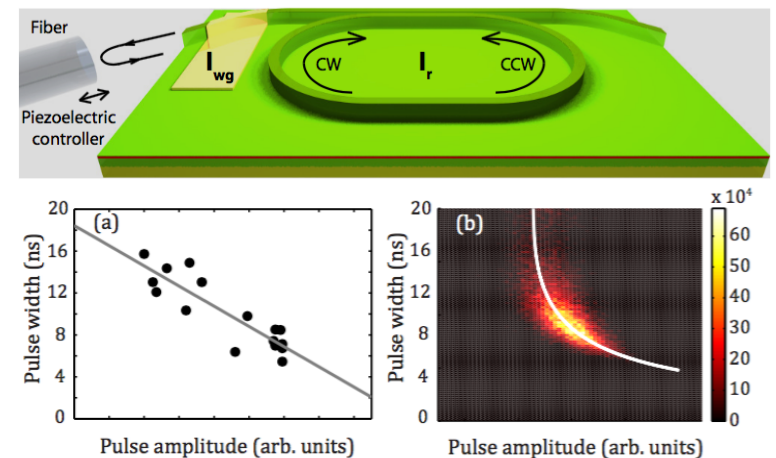
Selmi, Barbay et al. *Phys. Rev. Lett.* **112** (2014)

Resonant Tunneling Diode+LD



Romeira et al. *Sci. Rep.* (2016)

Semiconductor Ring & Microdisk Lasers



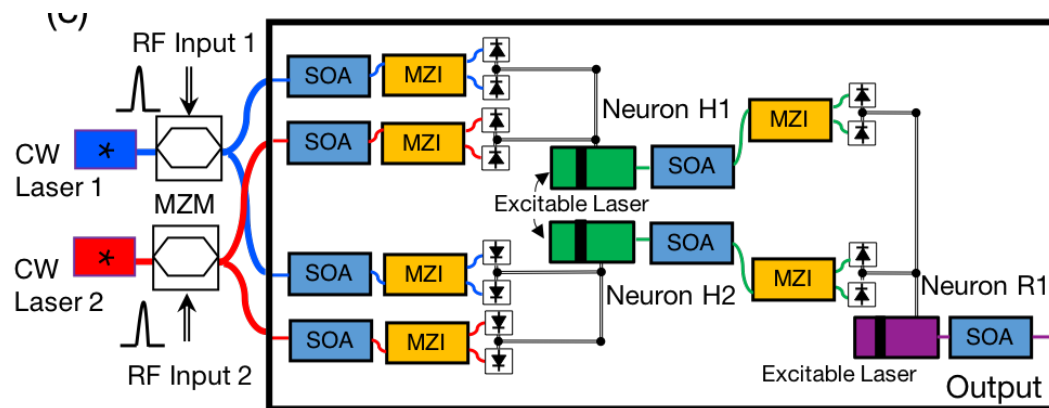
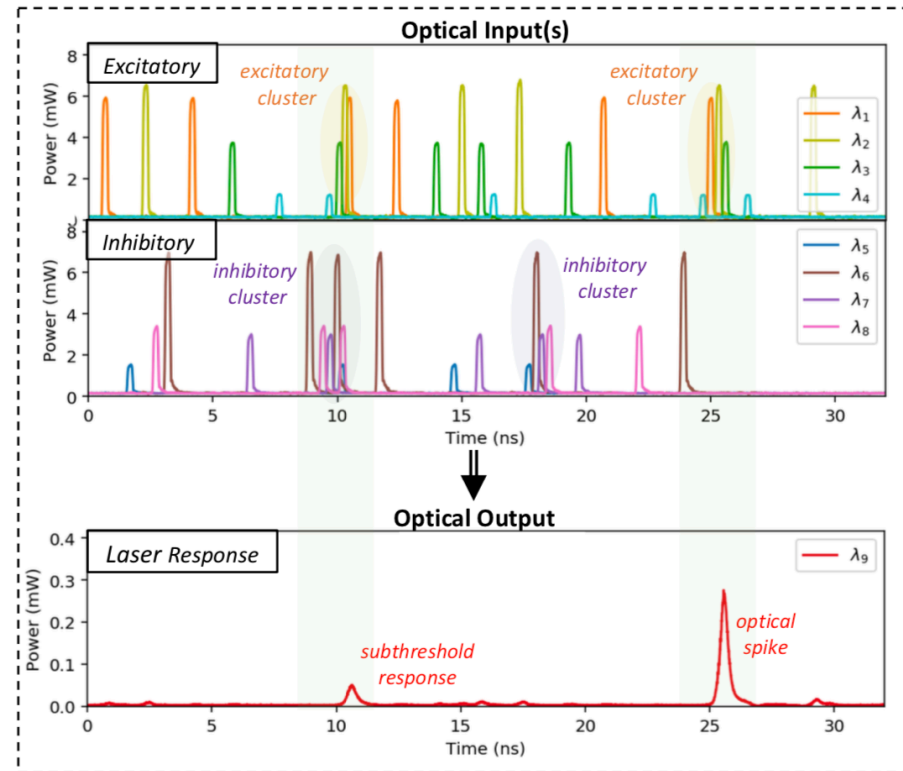
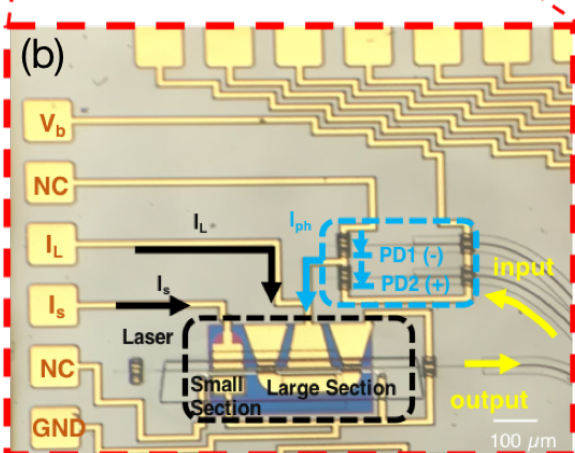
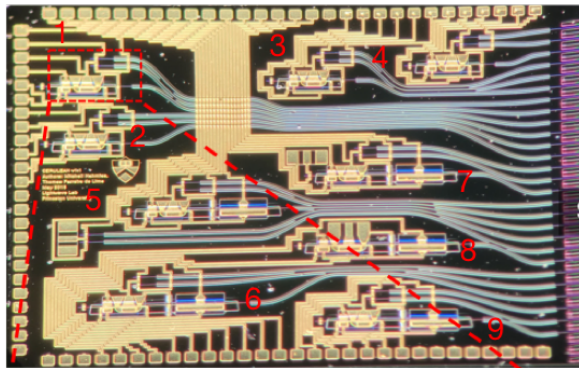
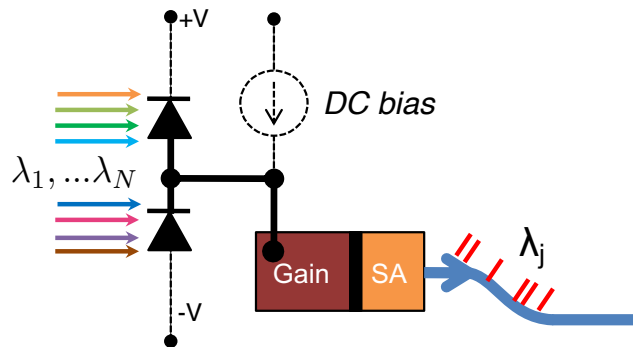
L. Gelens et al. *Phys. Rev. A.* **82** (2010)

Van Vaerenbergh et al. *Opt. Express* **21** (2013)

Some of these devices require optical injection, and are therefore not compatible with optical fan-in, an optical matched filter front-end, or WDM interconnects.

Prucnal, Shastri et al. *Adv. Opt. Photonics* **8** (2016)

3) Photonic Spiking Neural Networks



□ Motivation

- Artificial intelligence; neural networks
- Recent work on photonic information processing

□ Silicon Photonic Neural Networks

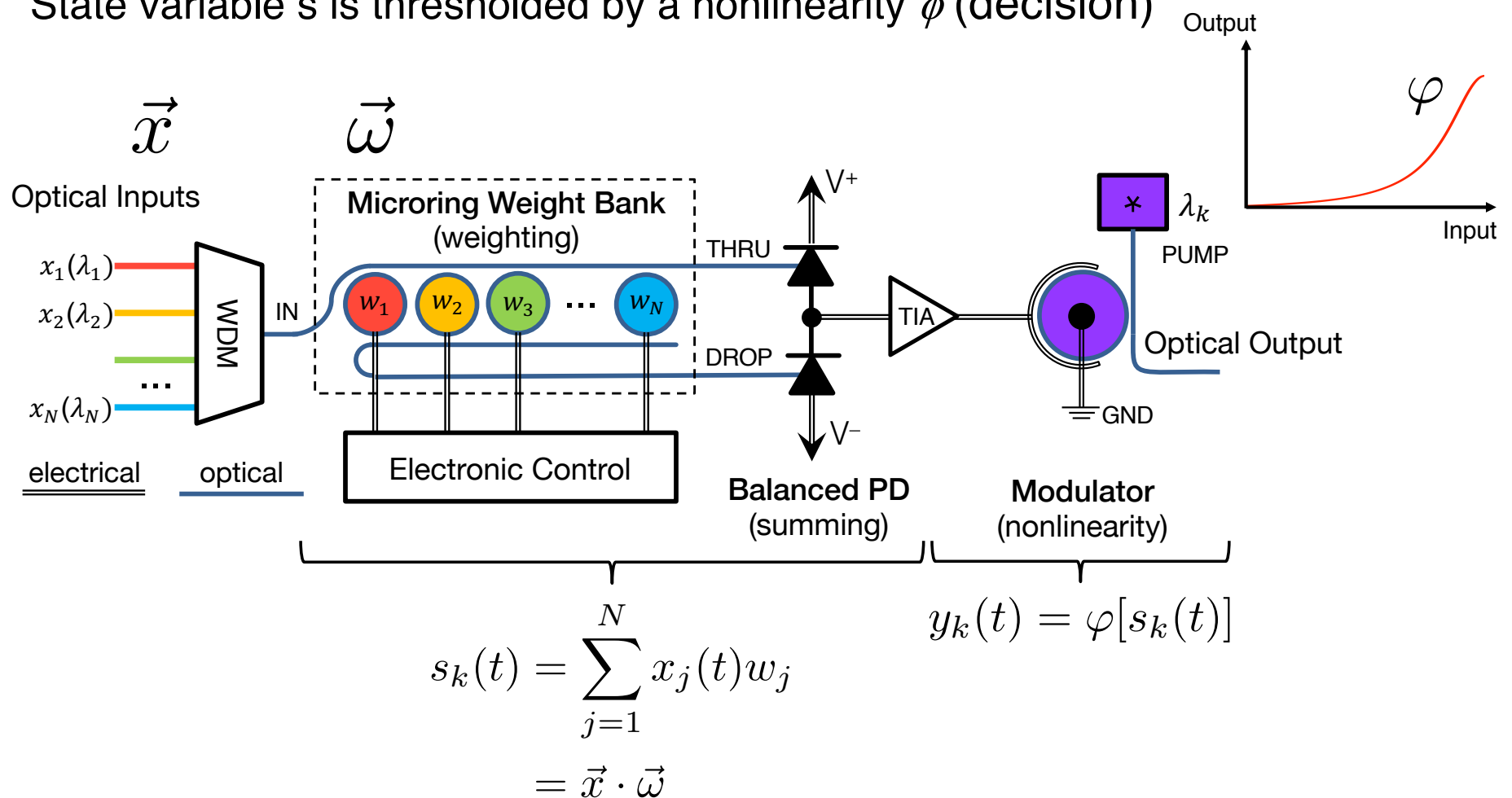
- Microring weight banks: vector multiplications
- Microring modulator nonlinearity

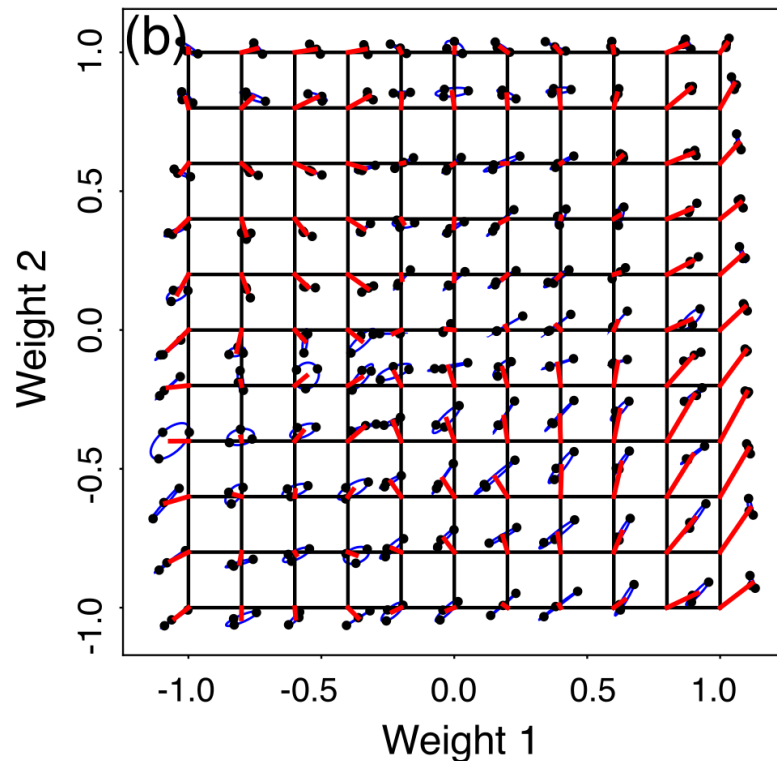
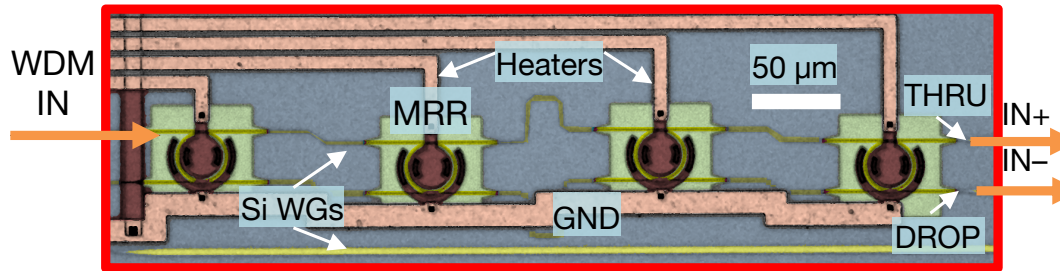
□ Applications

- Winner Take All Demonstration
- Nonlinear Programming
- Processing GHz RF Signals

□ Conclusion

- ❑ **Neuron operation:** 1) receive multiple inputs; 2) weight each by coefficient; 3) sum them; 4) perform a nonlinear process
- ❑ 1-3 is a weighted sum or **multiply & accumulate (MAC)**
- ❑ State variable s is thresholded by a nonlinearity ϕ (decision)





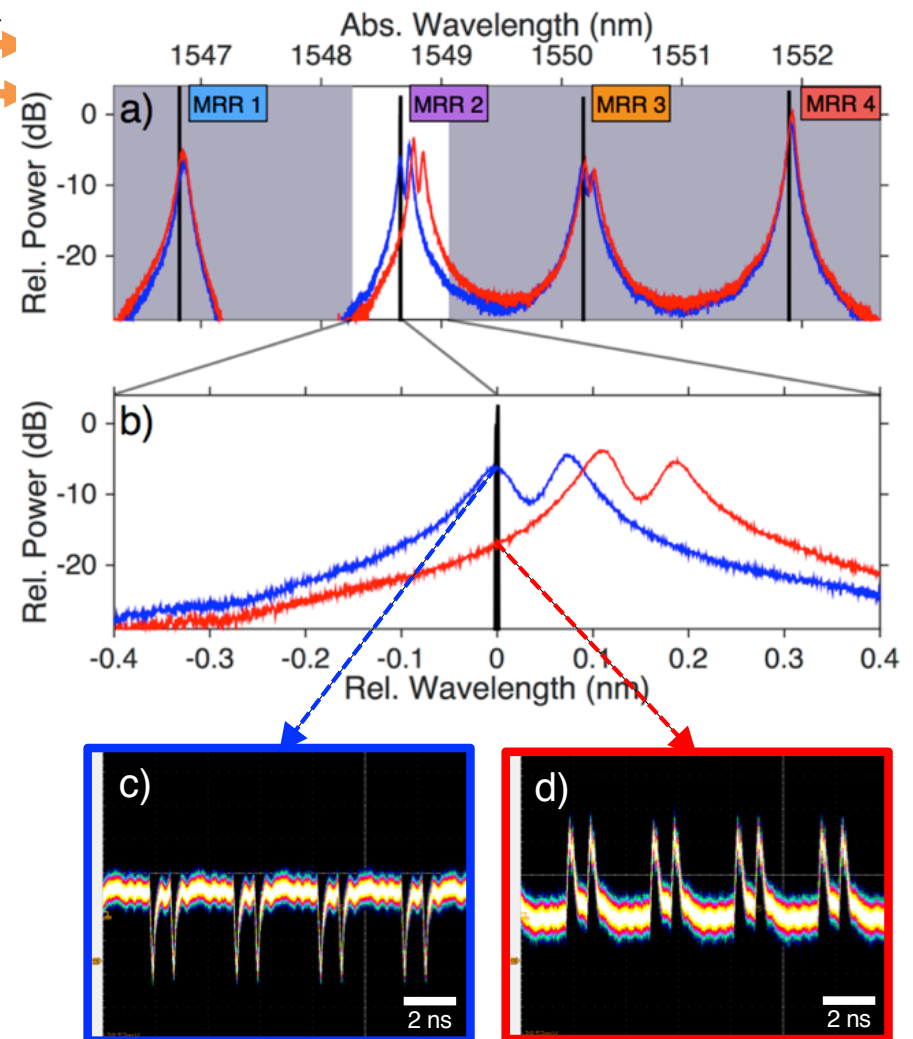
Red = mean error; Blue = std. dev.

Control precision: 7 bits + Sign bit

Tait, Shastri, Prucnal et al. *Opt. Express* **24** (2016)

Ma, Shastri, Prucnal et al. *Opt. Express* **27** (2019)

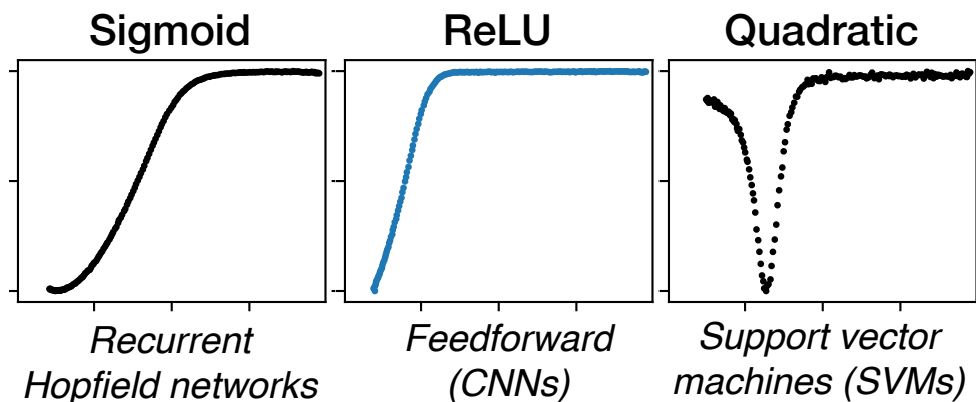
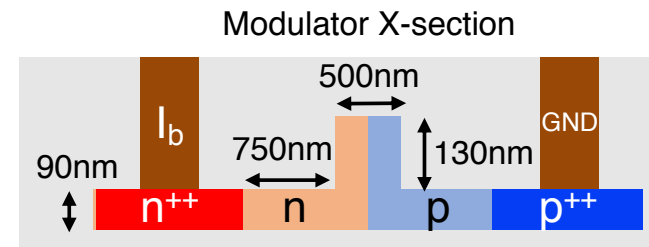
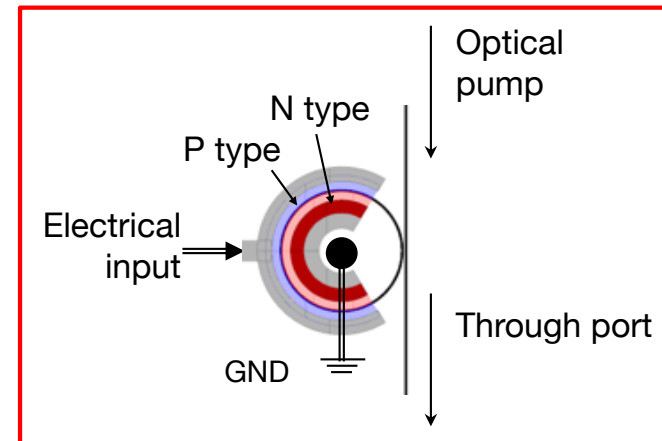
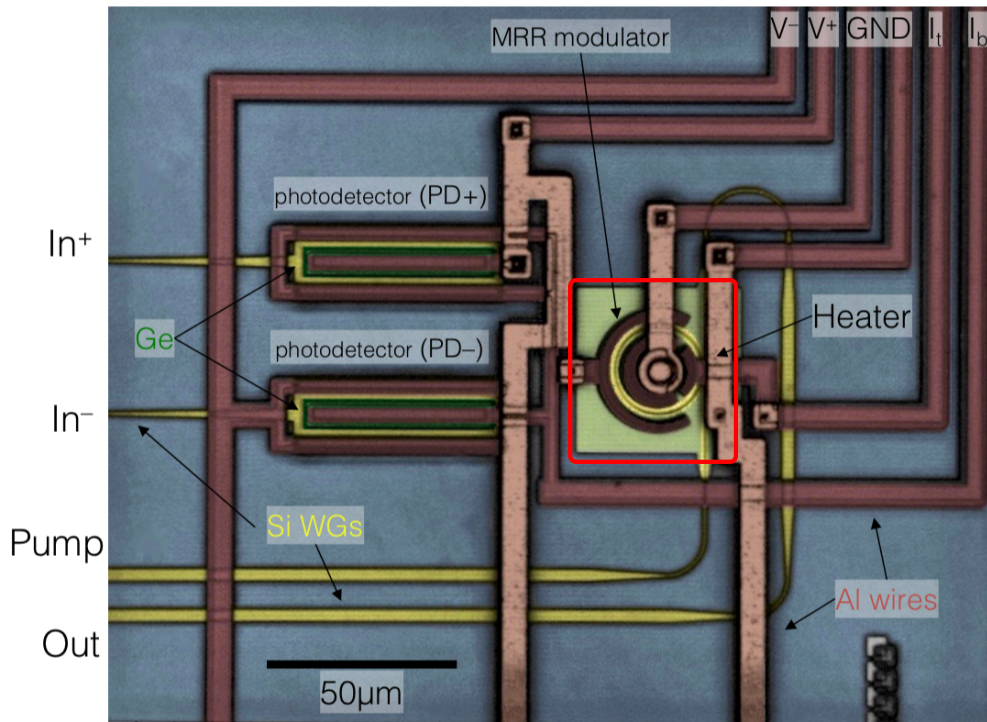
Measured Output of Drop Port



Balanced PD Output

Photogenerated carriers change R;
sense R to monitor light in ring. 20

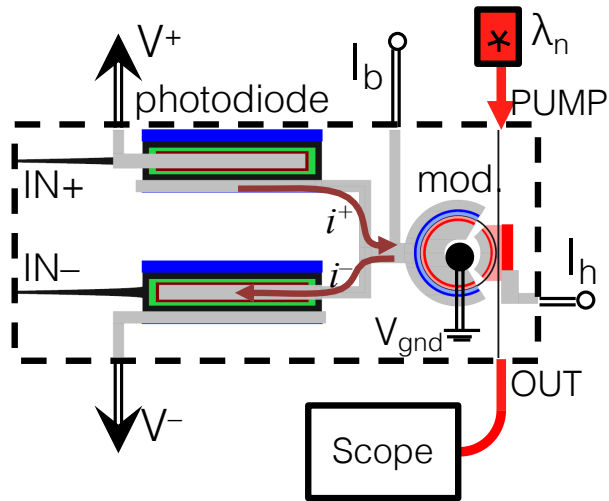
Silicon Microring Modulator Nonlinearity



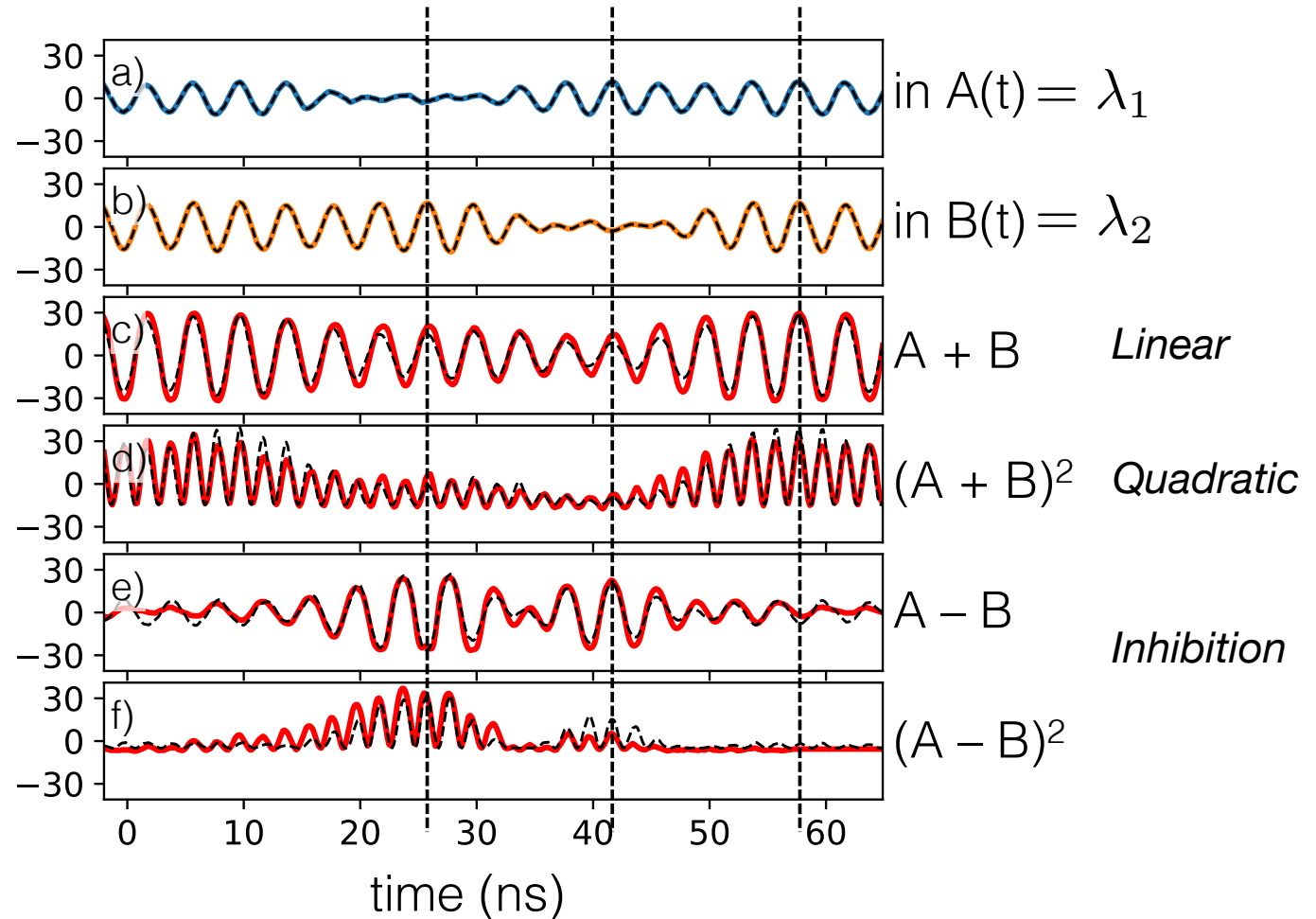
Plasma dispersion effect modulates index.
Free carriers can absorb light, leading to loss.

Bias current shifts the resonance and loss. Allows engineering the activation function

Tuning the Activation Function

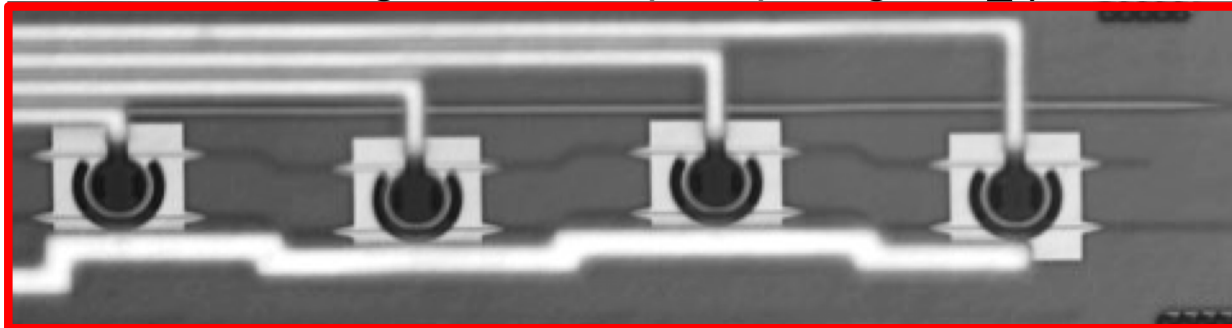


voltage (mV)

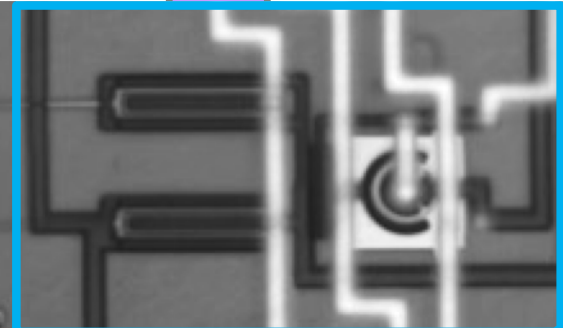


Multiwavelength Silicon Photonic Neuron

Microring Resonator (MRR) Weight Bank

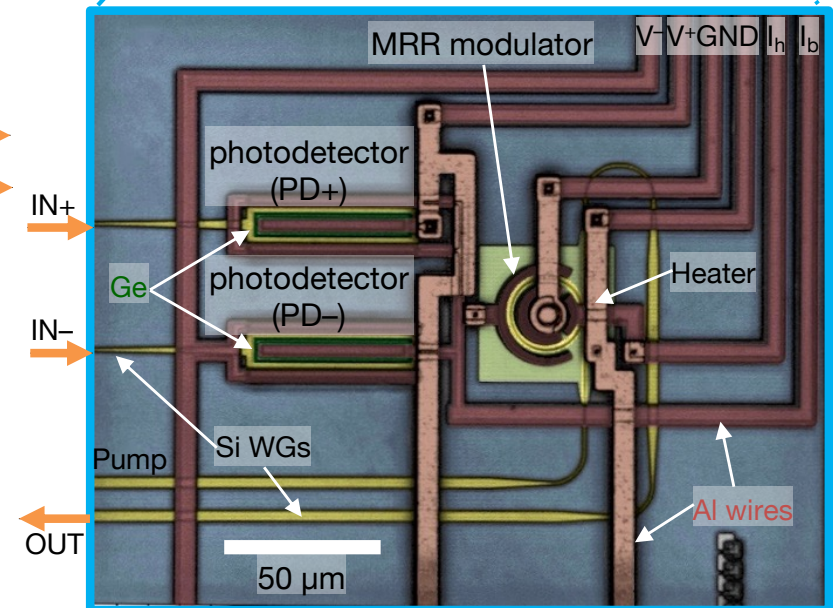
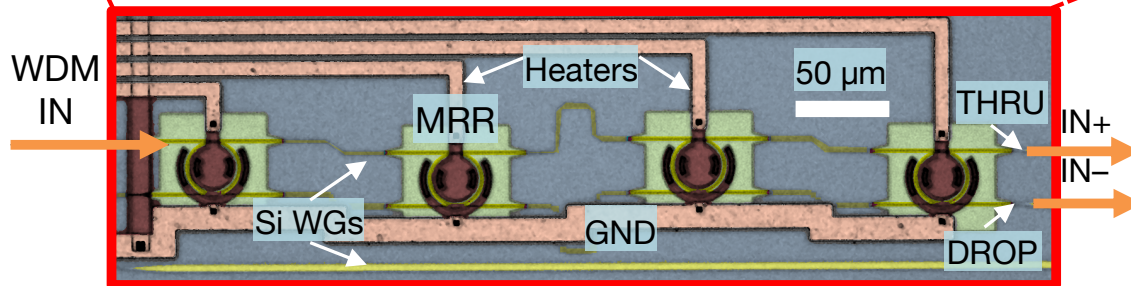


PD + Modulator



Weighted addition (dot products): $s_k = \vec{x} \cdot \vec{\omega}$

Nonlinearity: $y_k(t) = \varphi[s_k(t)]$



Features:

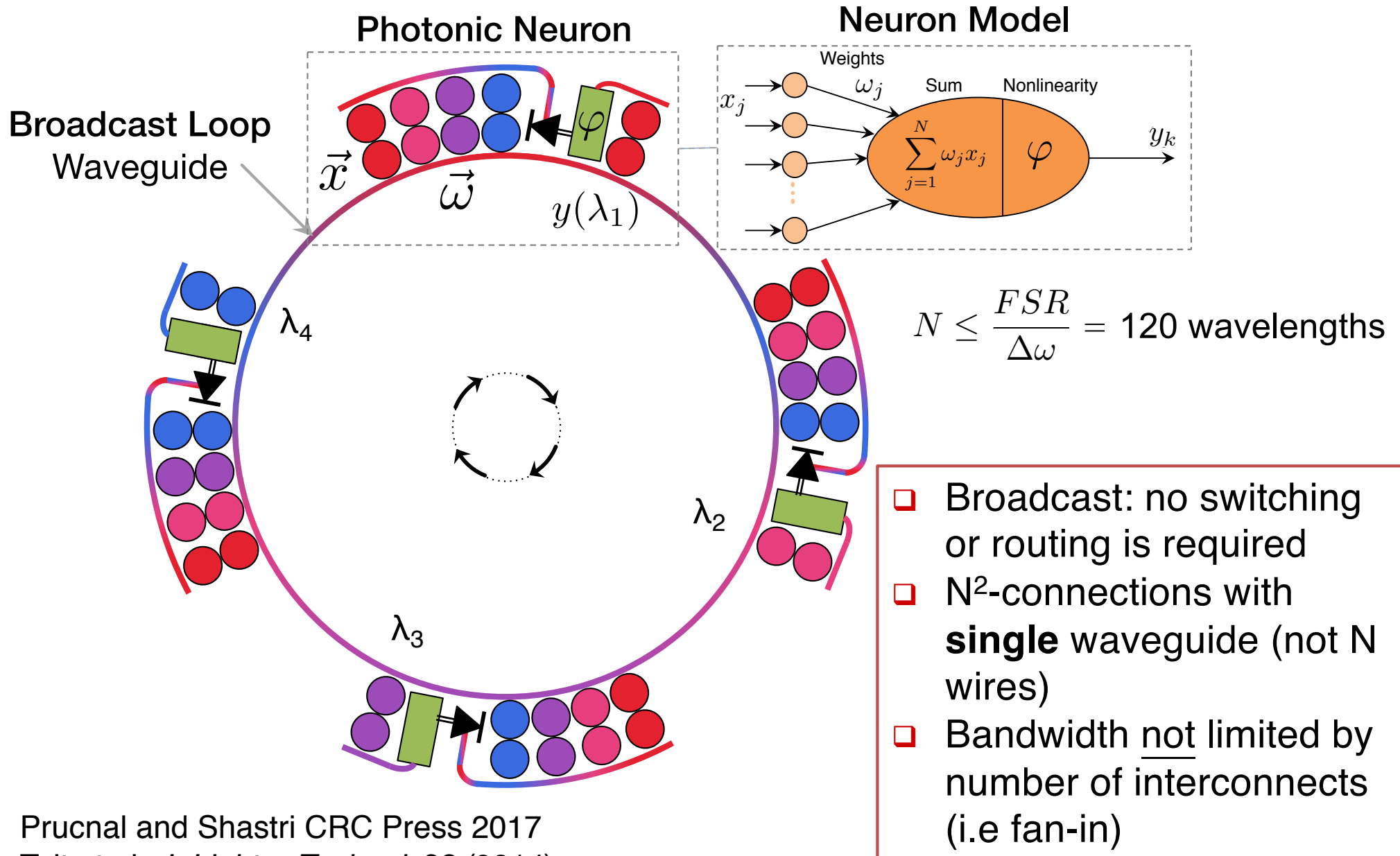
- ❑ Compatible with mainstream foundries
- ❑ MRR weight banks for WDM weighting
 - parallel processing & speed of light
- ❑ PD+/PD- do summing; provides +ve/-ve weights
- ❑ MRR & balanced PD does vector multiplication
- ❑ Modulator for fast electro-optic nonlinearity

Metrics:

- ❑ Energy efficiency (today): 500fJ/MAC
- ❑ Efficiency (foreseeable tech.): 1.1 fJ/MAC
- ❑ Speed: 10-40 GHz operation

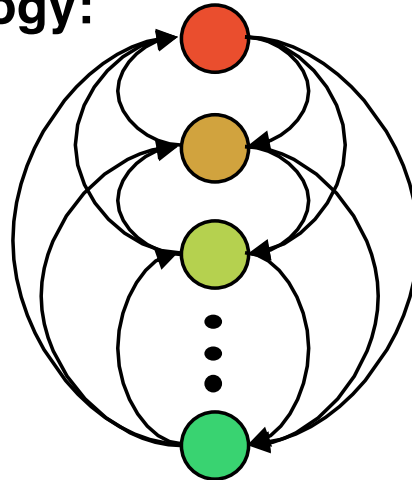
Neural Network: Broadcast-and-Weight

Wavelength division multiplexing provides all-to-all interconnects

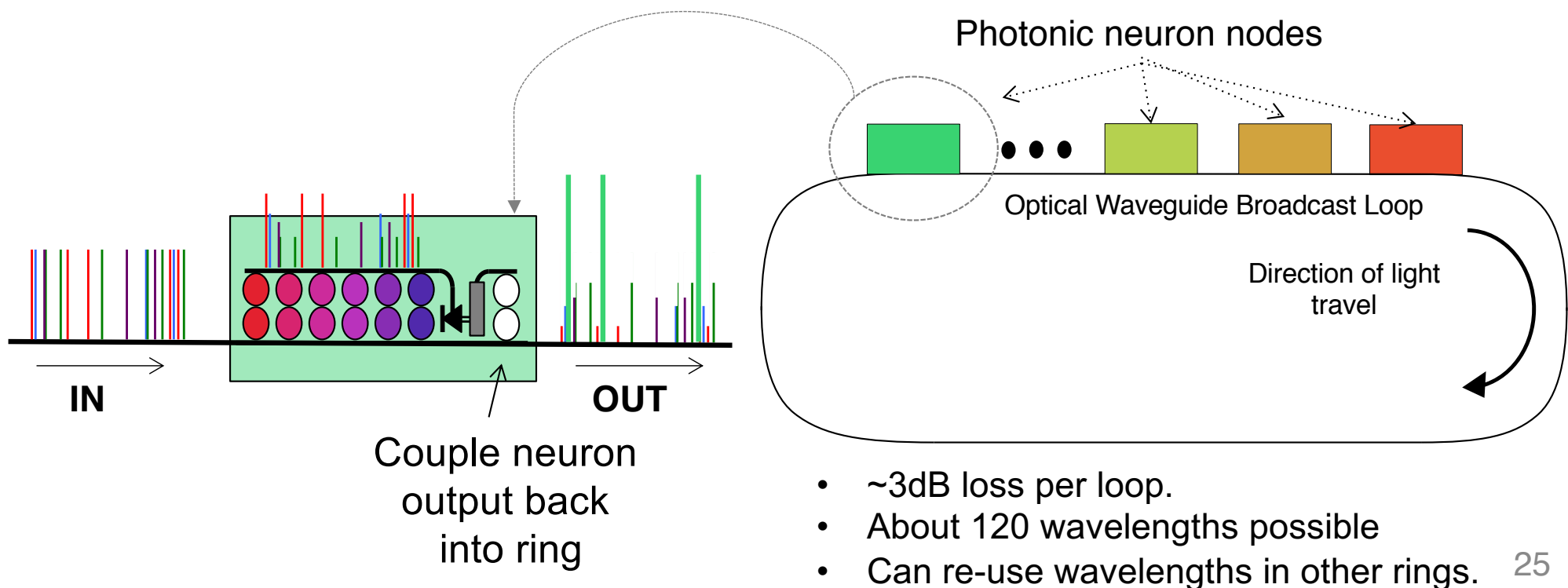


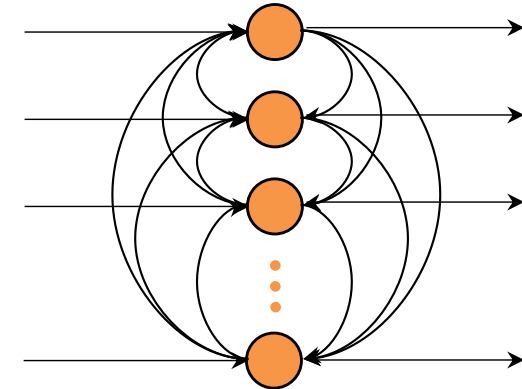
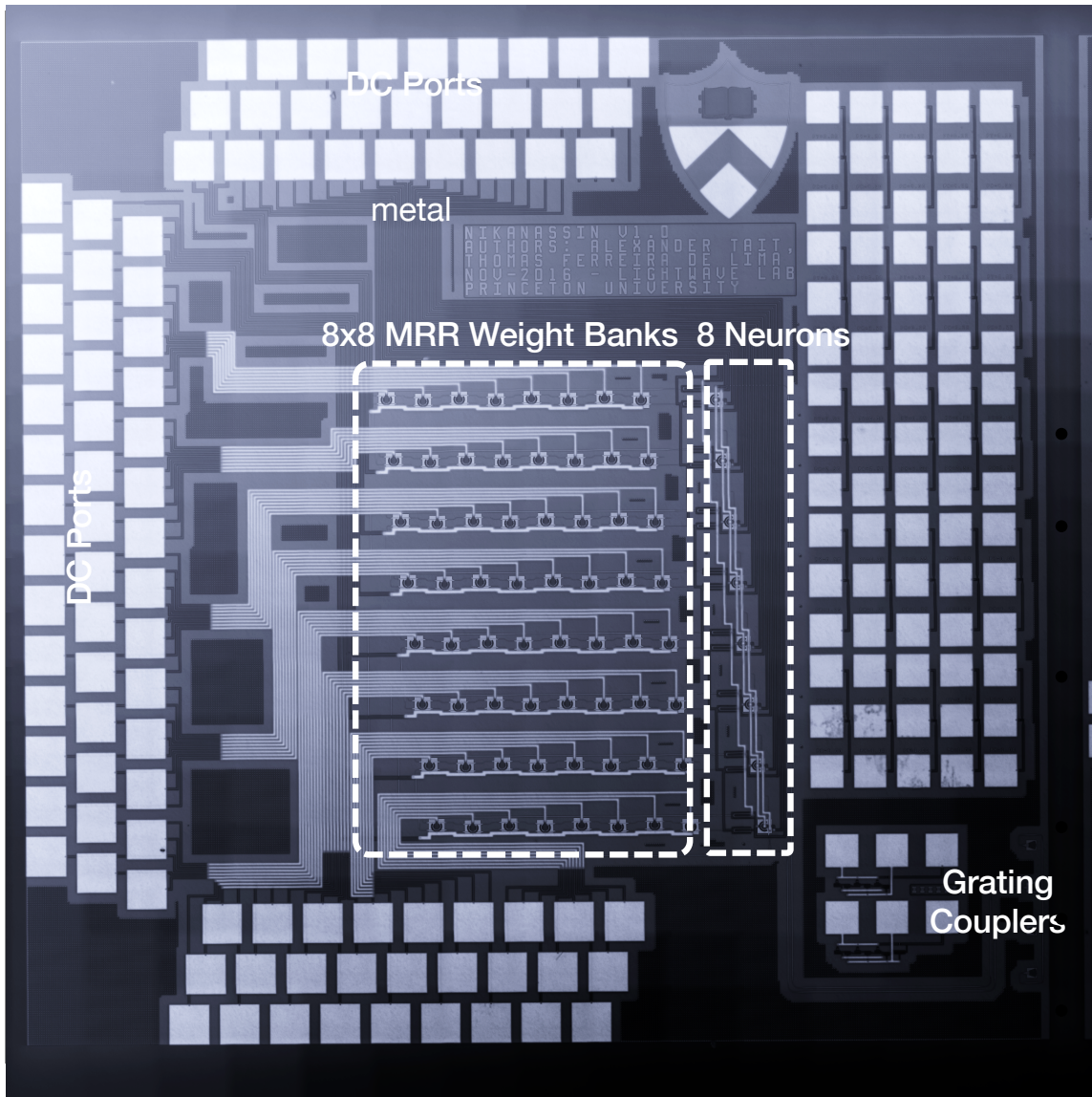
Recurrent Neural Networks

Logical topology:



Photonic implementation:

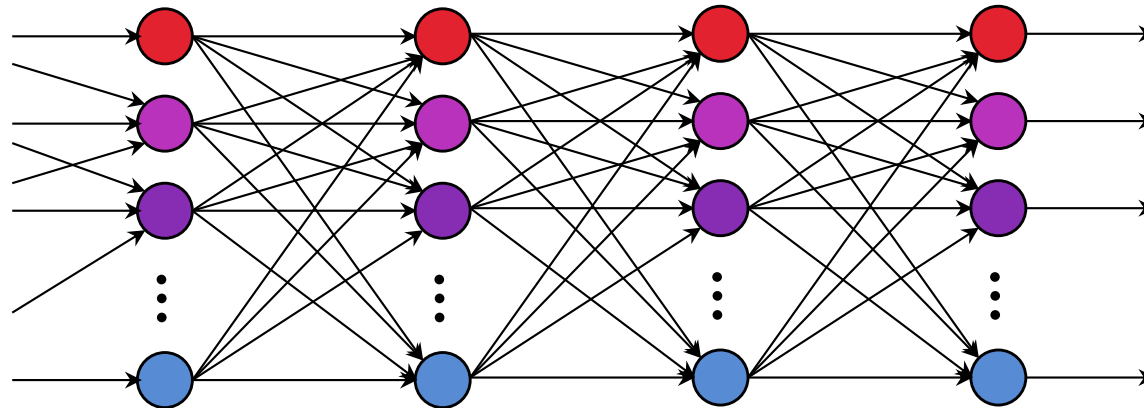




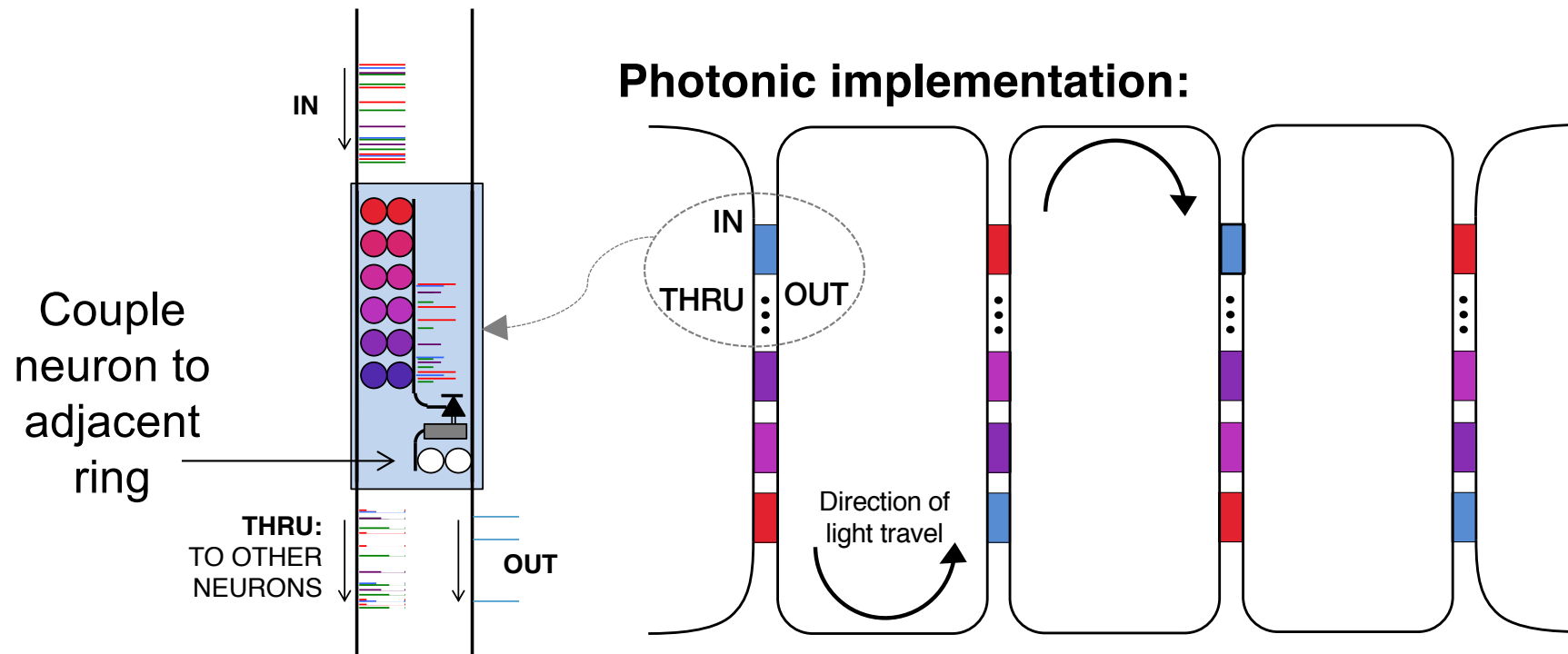
- Memory functionality
- Area: 64 MRRs weights:
 $64 \times 25\mu\text{m} \times 25\mu\text{m} = 0.04\text{mm}^2$
- 8 modulator neurons:
 $8 \times 30\mu\text{m} \times 30\mu\text{m} = 0.01\text{mm}^2$
- Static power: $64 \times 5.2\text{mW} = 333\text{mW}$
- Switching efficiency: 500 fJ/MAC
- Program with neural compiler (TensorFlow or Nengo)

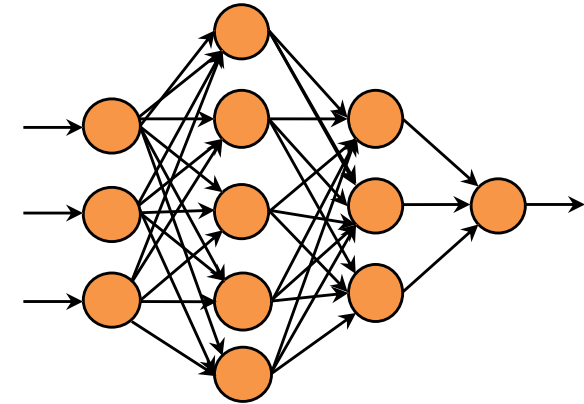
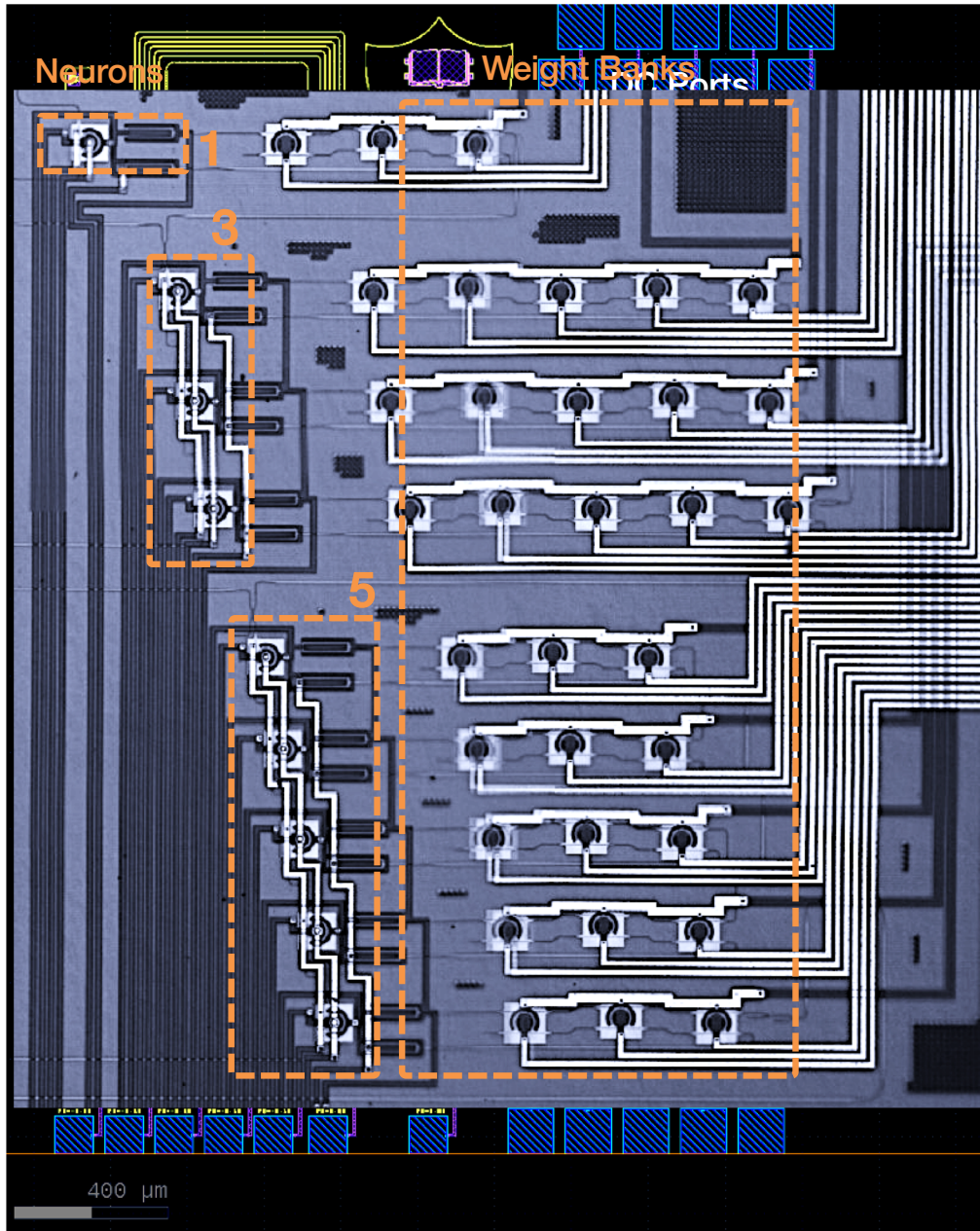
Feed-Forward Networks

Logical topology:



Photonic implementation:





- Low-latency processing
- Spectral reuse between layers
- 3-layer network; 9 neurons and 33 weights

Work in progress

□ Motivation

- Artificial intelligence; neural networks;
- Recent work on photonic information processing

□ Silicon Photonic Neural Networks

- Microring weight banks: vector multiplications
- Microring modulator nonlinearity

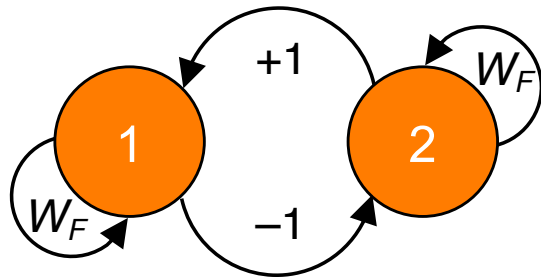
□ Applications

- Winner Take All Demonstration
- Nonlinear Programming
- Processing GHz RF Signals

□ Conclusion

2x2 Photonic Winner-Takes-All Demonstration

Self-feedback and inhibition



$$[\mathbf{W} \mid \vec{w}_{in}] = \begin{bmatrix} W_F & -1 & \mid & 0 \\ 1 & W_F & \mid & 0 \end{bmatrix}$$

Continuous-Time Recurrent Network Model

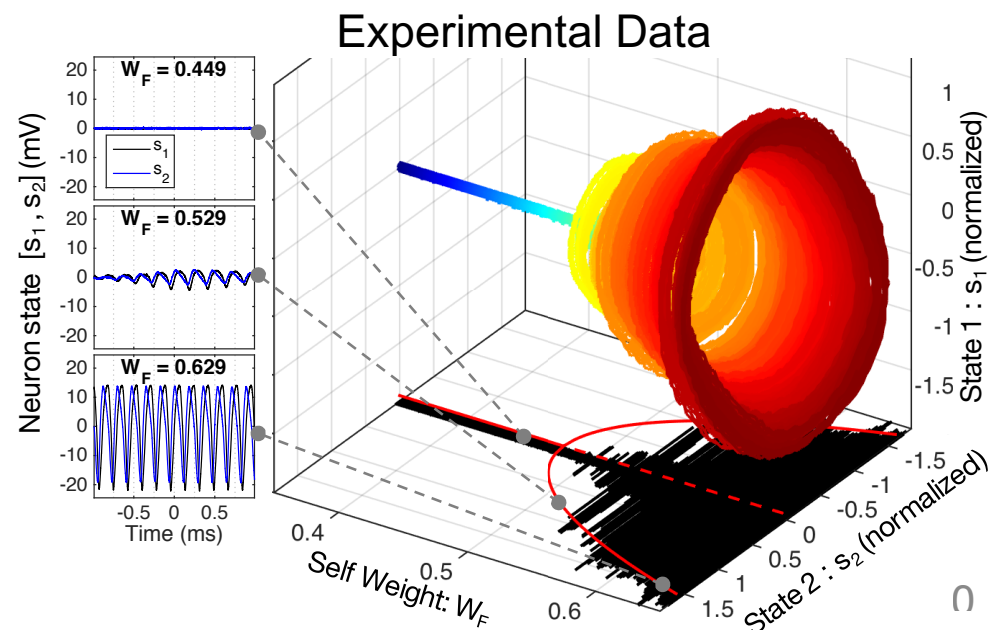
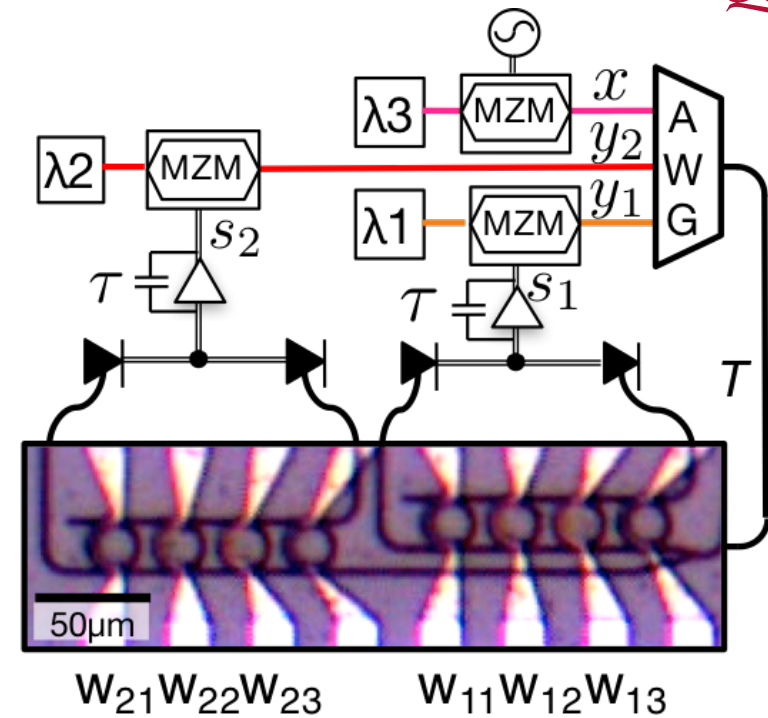
Weight matrix State vector Weighted input

$$\frac{d\vec{s}(t)}{dt} = \mathbf{W} \vec{y}(t) - \frac{\vec{s}(t)}{\tau} + \vec{w}_{in} x(t)$$

output Nonlinear function Time-constant

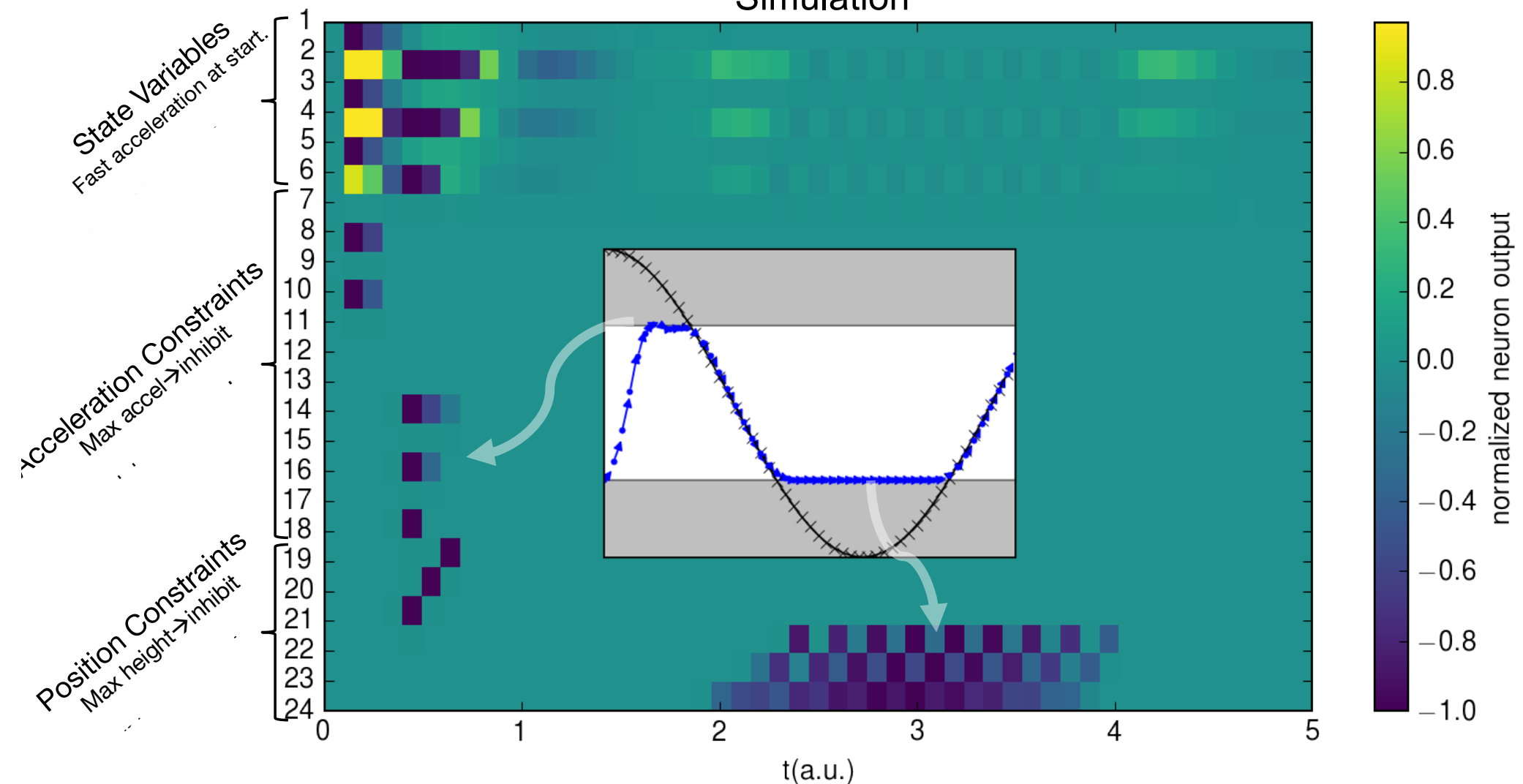
$$\vec{y}(t) = \sigma[\vec{s}(t)]$$

- Neurons compete for activation
- Strong self-feedback transitions from stable to oscillating (complex eigenvalues)

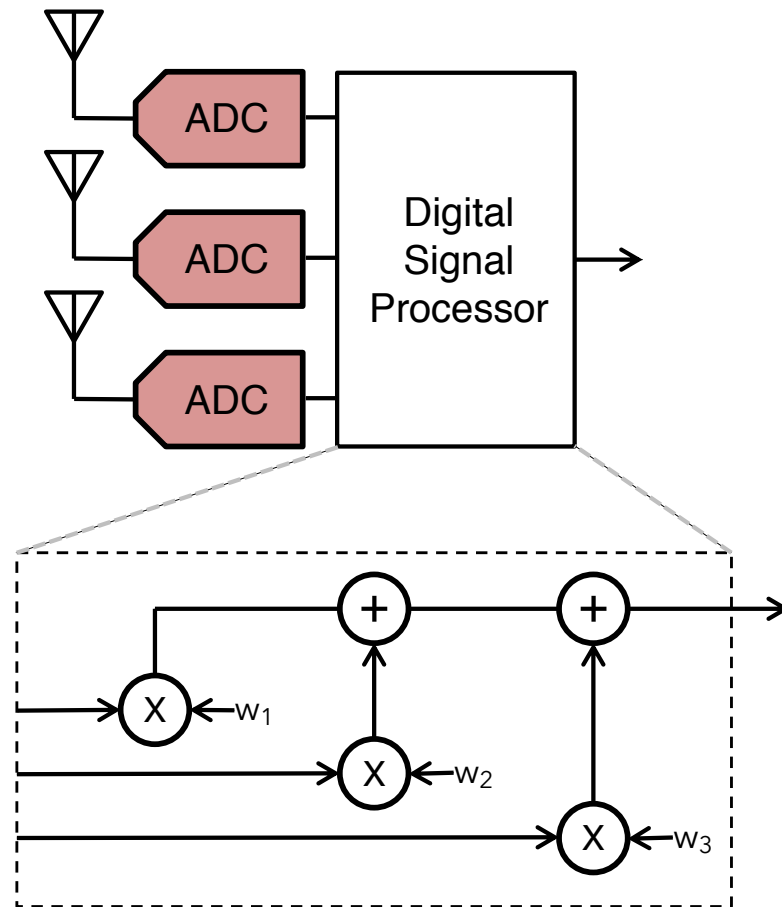


Constrained model predictive control problem: Intercept a moving target with matching speed while respecting constraints on acceleration and position.

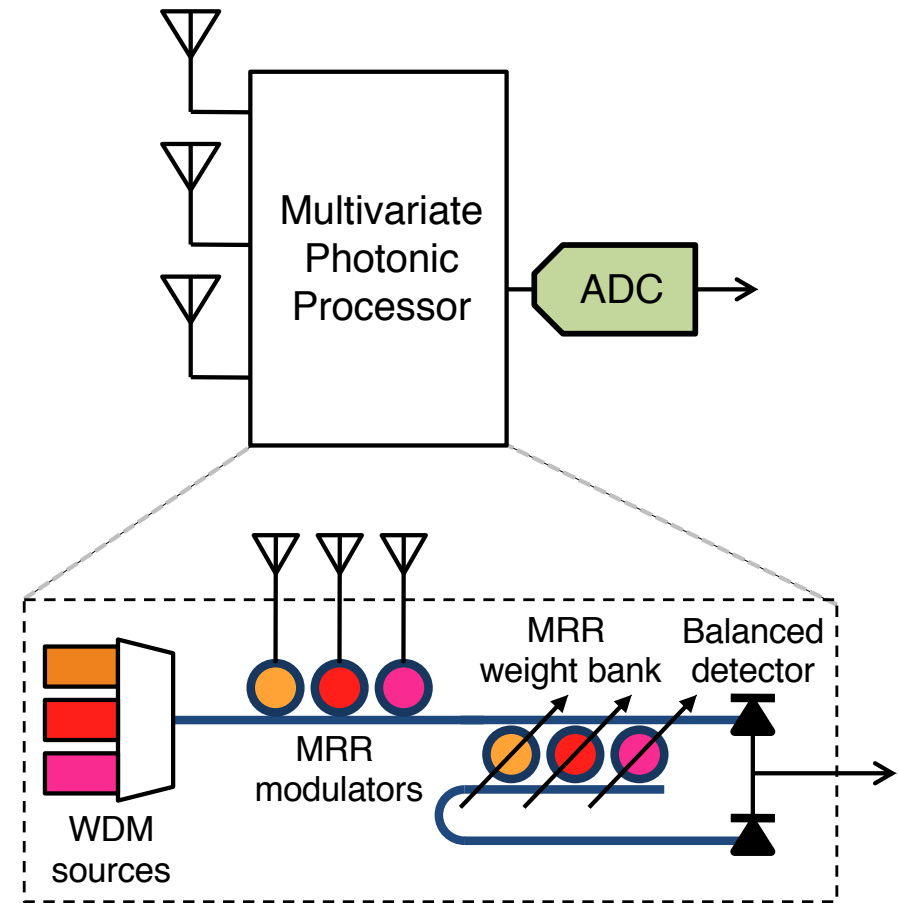
Simulation



Principal Component Analysis of GHz RF Signals



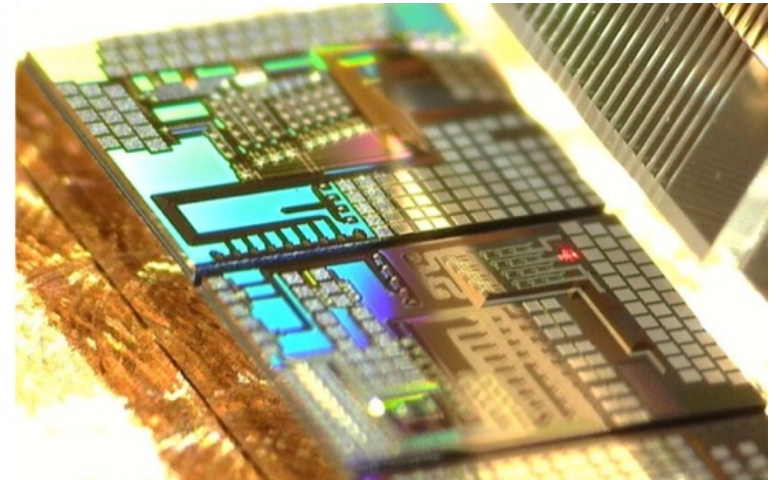
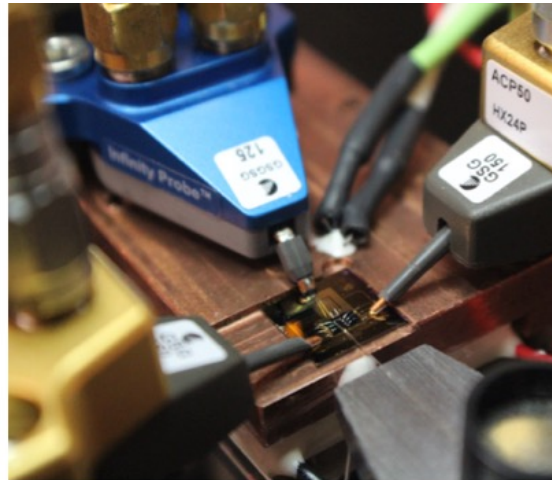
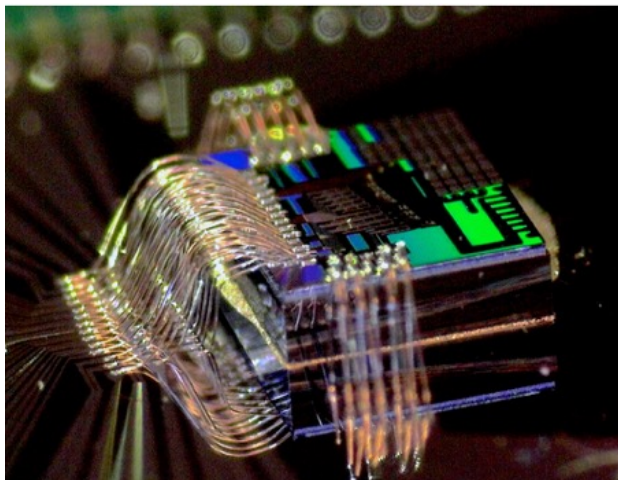
- ADC bottleneck
- Weighted addition (MAC) in DSP
- Processing (dimension reduction) after DSP



- Analog wideband weighted
- Enables dimensionality reduction in wideband analog systems *before* digitizing
- No MACs, no DSP, only one ADC

Conclusion

- ❑ Neuromorphic photonics enabled by the maturing of three fields
 - Silicon photonics, RF analog photonics and scalable computing
 - It is compatible with commercial integration technology
- ❑ Wavelength-based networking provides massive interconnection
 - Overcomes the bandwidth limits of electronic fan-in & interconnection
- ❑ Carrier & photon dynamics allow very fast processing (ps v. ms)
- ❑ Applications include:
 - Winner takes all networks, nonlinear programming, analog processing of RF signals



Challenges in Making This a Reality

- ❑ Co-integration with CMOS
- ❑ Integration of laser sources
- ❑ Advances in packaging solutions
- ❑ New materials (memory) and device integration
- ❑ Development of a neural compiler and programming platform to interface the photonic hardware with applications.
- ❑ Target the right application: when does it make sense to use photonics over electronics?

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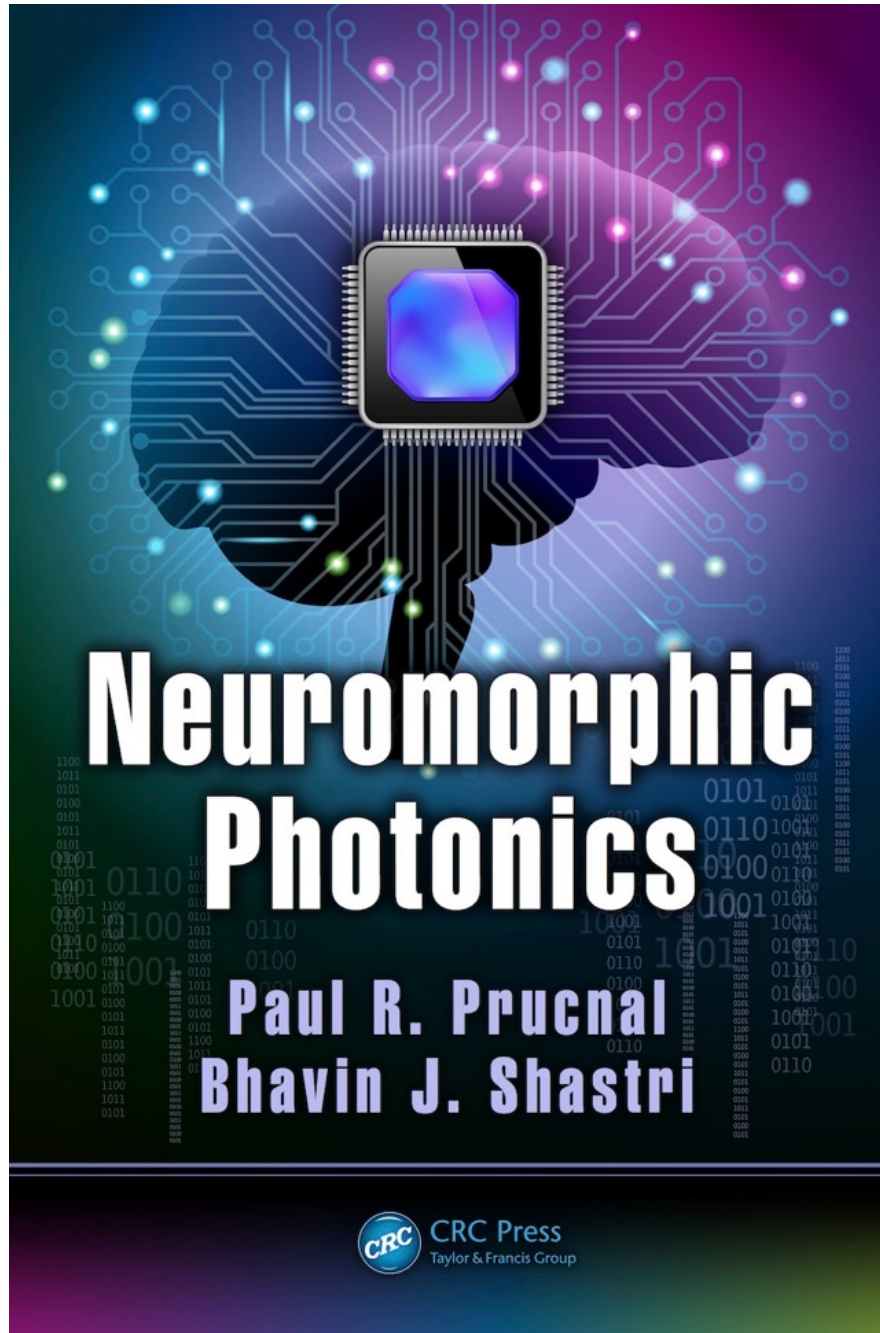
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fin

shastri@ieee.org

prucnal@princeton.edu